



(12) **EUROPEAN PATENT APPLICATION**

(51) Int. Cl.⁶: **G07F 7/10**, H04L 9/00

(21) Application number: 98105233.5

(22) Date of filing: 23.03.1998

(72) Inventors:

- Kusakabe, Susumu
Shinagawa-ku, Tokyo (JP)
- Takada, Masayuki
Shinagawa-ku, Tokyo (JP)
- Ishibashi, Yoshihito
Shinagawa-ku, Tokyo (JP)

**(30) Priority: 26.03.1997 JP 73205/97
28.04.1997 JP 110889/97**

(71) Applicant: **SONY CORPORATION**
Tokyo (JP)

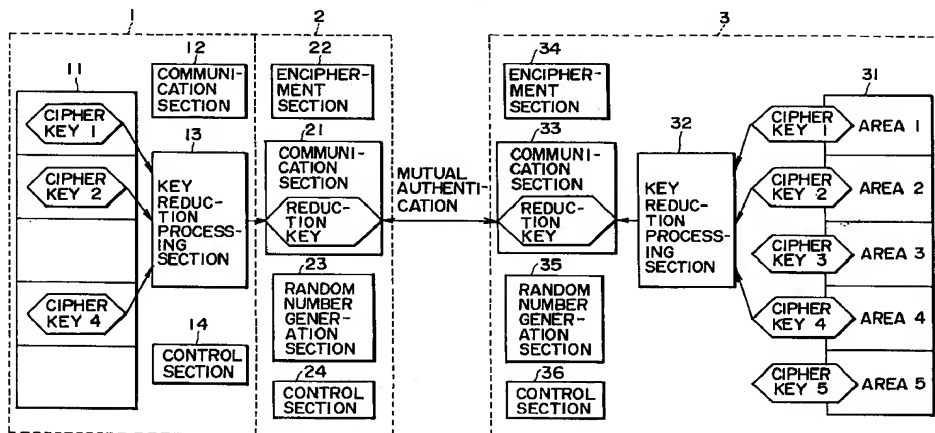
(74) Representative:
Melzer, Wolfgang, Dipl.-Ing. et al
Patentanwälte
Mitscherlich & Partner,
Sonnenstrasse 33
80331 München (DE)

(54) System and method for authentication, and device and method for authentication

(57) In authentication using a plurality of cipher keys, the authentication time is shortened. In the case that an encipher key to decipher key are required to take an access to each area out of the area to area in a memory of an IC card, a plurality of areas to have an access is informed to the IC card from a reader writer, a plurality of cipher keys corresponding to these areas (for example, cipher key 1, cipher key 2, and cipher key 4) is read out, and reduction processing section generates one reduction key from these cipher keys. A random

number which is generated from a random number generation section of the reader/writer is transferred to the IC card, and an encipherment section enciphers the random number using the reduction key. The reader/writer receives the enciphered random number from the IC card, and decipheres it using the reduction key, and judges the IC card to be proper if the deciphered random number is equal to the generated random number.

FIG. 1



Description

BACKGROUND OF THE INVENTION

This invention relates to a system and method for authentication and device and method for authentication, and particularly relates to a system and method for authentication and device and method for authentication which are capable of authenticating rapidly.

Description of Related Art

Fig. 20 shows an exemplary structure of a conventional authentication system using an IC card. In this exemplary structure, authentication processing is performed between the IC card 102 and reader writer 101. In the IC card 102, the area for storing information is divided into 5 areas of area 1 to area 5. Respective areas correspond to different cipher key 1 to key 5. To take an access to area i, the corresponding cipher key i is required.

When the reader writer 101 records a data in, for example, area 1 of the IC card, or reads a data stored therein, first mutual authentication processing is performed. The reader writer 101 previously stores the same cipher key 1 to cipher key 5 as the cipher key 1 to cipher key 5 stored in the IC card 102. When the reader writer 101 takes an access to area 1 of the IC card 102, the reader writer 101 reads the cipher key 1 corresponding to the area 1 and performs an authentication processing using this key.

For example, the reader writer 101 generates a prescribed random number, and informs the random number and the number of 1 of the area to be addressed to the IC card 102. The IC card 102 reads the cipher key 1 corresponding to the informed number of 1, enciphers the random number using the cipher key 1. The enciphered random number is informed to the reader writer 101. The reader writer 101 decipheres the ciphered random number using the cipher key. If the random number informed to the IC card 102 coincides with the deciphered random number, the IC card 102 is judged to be proper.

Similarly, the IC card 102 generates a prescribed random number, and outputs it to the reader writer 101. The reader writer 101 enciphers the random number using the cipher key 1, and informs the enciphered random number to the IC card 102. The IC card 102 decipheres the enciphered random number using the cipher key 1. If the deciphered random number is coincident with the random number posted to the reader writer 101, the reader writer 101 is determined to be a proper reader writer.

The processing described herein above is performed on respective areas.

In the conventional system, it has been difficult to take an access to areas rapidly because mutual authentication processing is performed individually for each

area. As the result, it has been difficult that a reader writer 101 takes an access to a prescribed area of the IC card 102 and then writes and reads information in a short time, for example, when a commuter passes a gate installed at a ticket gate.

The present invention was accomplished in view of such a problem, and it is the object of the present invention to shorten the time for authentication.

SUMMARY OF THE INVENTION

Embodiments of the present invention are described hereinafter. In the description, features of the present invention is described with a corresponding embodiment (one example) in parentheses after each means in order for clear understanding of mutual correspondence between respective means described in claims and embodiments described hereinafter. However, these descriptions by no means limits respective means to those described hereinafter.

An authentication system described in claim 1 comprises the first device and second device. The first device has first memory means (for example, a memory 11 shown in Fig. 1) for storing a plurality of keys, first generation means (for example, a reduction processing section 13 shown in Fig. 1) for generating one authentication key from the arbitrary number of keys out of the plurality of the keys stored in the first memory means, and first communication means (for example, a communication section 12 shown in Fig. 1) for communicating with the second device. The second device has second memory means (for example, a memory 31 shown in Fig. 1) for storing a plurality of keys, second generation means (for example, a reduction processing section 32 shown in Fig. 1) for generating one authentication key from the arbitrary number of keys out of the plurality of the keys stored in the first memory means, and second communication means (for example, a communication section 33 shown in Fig. 1) for communicating with the first device. The one device (for example, an IC card 3 shown in Fig. 1) out of the first device and second device has encipherment means (for example, encipherment section 34 shown in Fig. 1) for enciphering using the authentication key, and the other device (for example, a controller 1 and reader writer 2 shown in Fig. 1) out of the first device and second device has decipherment means (for example, decipherment section 22 shown in Fig. 1) for deciphering data enciphered by the encipherment means using the authentication key.

In the above-mentioned authentication system, one authentication key is generated from a plurality of keys. Data are enciphered and deciphered using the one authentication key.

In the authentication system described in claim 2, the one device out of the first device and second device additionally has notification means (for example, the step S6 shown in Fig. 7) for notifying information required to generate one corresponding authentication

key from arbitrary number of keys out of the plurality of the keys stored therein to the other device. The other device out of the first device and second device generates the authentication key corresponding to the information informed from the notification means.

In the authentication system described in claim 3, at least any one device of the first device and second device is provided with a random number generation means (for example, random number generation sections 23 and 35 in Fig. 1) for generating a random number, and the encipherment means enciphers a random number generated by the random number generation means, and the decipherment means decipheres enciphered the random number.

An authentication device described in claim 6 has communication means (for example, a communication section 21 shown in Fig. 1) for communicating between the other device, memory means (for example, the memory 11 shown in Fig. 1) for storing a plurality of keys, generation means (for example, the reduction means 13 shown in Fig. 1) for generating one authentication key from the arbitrary number of keys out of a plurality of the keys stored in the memory means, notification means (for example, the communication section 12 shown in Fig. 1) for notifying information required to generate one corresponding authentication key from the arbitrary number of keys out of the plurality of the keys stored in the other device and data to be enciphered using the authentication key to the other device, and decipherment means (for example, the encipherment section 22 shown in Fig. 1) for deciphering, using the authentication key, the data enciphered by the other device using the authentication key.

In the above-mentioned authentication device, information required to generate one authentication key is informed to other device. Data enciphered using an authentication key generated by other device are deciphered using the authentication key.

An authentication device described in claim 9 has communication means (for example, the communication section 33 shown in Fig. 1) for communicating between the other device, a memory means (for example, the memory 31 shown in Fig. 1) for storing a plurality of keys, generation means (for example, the reduction processing section 32 shown in Fig. 1) for generating one authentication key from the arbitrary number of keys out of the plurality of the keys stored in the memory means based on information informed from the other device, and encipherment means (for example, the encipherment section 34 shown in Fig. 1) for enciphering the data informed from the other device using the authentication key.

In the above-mentioned authentication device, one authentication key is generated from a plurality of keys based on the information informed from the other device.

In an authentication system described in claim 12, the first device stores a key assigned to this device and

has first memory means (for example, a memory 11 shown in Fig. 9) for storing a key assigned to this device and individual data generated using a prescribed common data and a prescribed number of keys held by the second device, first generation means (for example, a reduction processing section 13 shown in Fig. 9) for generating an authentication key from the key stored in the first memory means and the individual data, first notification means (for example, a control section 24 shown in Fig. 9) for notifying information required for the other device to generate corresponding the key, and a first communication means (for example, a communication section 21 shown in Fig. 9) for communicating between the second device. The second device is provided with a second memory means (for example, a memory 31 shown in Fig. 9) for storing a plurality of keys and the common data, a second generation means (for example, a reduction processing section 32 shown in Fig. 9) for generating the authentication key from a key corresponding to the information from the communication means of the first device out of the plurality of the keys stored in the second memory means and the common data, and a communication means (for example, a communication section 33 shown in Fig. 9) for communicating between the first device. The one device out of the first device and second device is provided with an encipherment means (for example, an encipherment section 22 shown in Fig. 9) for enciphering using the authentication key, and the other device out of the first device and second device is provided with a decipherment means (for example, an encipherment section 34 shown in Fig. 9) for deciphering the data enciphered by the encipherment means using the authentication key.

In the above-mentioned authentication system, a key assigned to this device and individual data are stored in the first device, and an authentication key is generated correspondingly to these key and data. In the second device, an authentication key is generated from the information received from the first device and common data.

In the authentication system described in claim 13, an authentication key comprises a first authentication key and second authentication key. The first generation means generates the first authentication key from the key stored in the first memory means assigned thereto and the individual data, and generates the second authentication key using the key assigned thereto and the first authentication key. The second generation means generates the first authentication key from a key corresponding to the information from the notification means of the first device out of the plurality of the keys stored in the second memory means and the common data, and generates the second authentication key using the first authentication key and a key corresponding to the information from the notification means of the first device. Both the first device and second device are provided with the encipherment means and decipherment means respectively, the one device out of the first

device and second device is provided additionally with a random number generation means (for example, the random number generation section 23 shown in Fig. 9) for generating a random number. An encipherment means of the one device out of the first device and second device enciphers the random number generated from the random number generation means of the one device out of the first device and second device (¥2) using the first authentication key, a decipherment means of the other device out of the first device and second device decipheres the random number enciphered by the encipherment means of the one device out of the first device and second device using the first authentication key, an encipherment means of the other device out of the first device and second device enciphers the random number deciphered by the decipherment means of the other device out of the first device and second device using the second authentication key, and a decipherment means of the one device out of the first device and second device decipheres the random number enciphered by the encipherment means of the other device out of the first device and second device using the second authentication key.

In the authentication system described in claim 16, the second device is provided additionally with the second decipherment means (for example, an decipherment section 34 shown in Fig. 18) for deciphering the first enciphered data and second enciphered data received from the first communication means of the first device using the first key corresponding to the key identification number, and a changing means (for example, a control section 36 shown in Fig. 18) for judging whether the second key and third key are in a prescribed relation and for changing the first key using the second key correspondingly to the judgement result.

An authentication device described in claim 18 is provided with a memory means (for example, the memory 11 shown in Fig. 19) for storing a key assigned to this device and storing individual data generated using a prescribed common data and a prescribed number of keys held by the other device, a generation means (for example, the reduction processing section 13 shown in Fig. 9) for generating an authentication key from the key stored in the memory means and the individual data, notification means (for example, the control section 24 shown in Fig. 9) for notifying information required for the other device to generate the corresponding authentication keys, a communication means (for example, the communication section 21 shown in Fig. 9) for communicating between the other device, and an encipherment means (for example, the encipherment section 22 shown in Fig. 9) for enciphering using the authentication key.

An authentication device described in claim 22 is provided with a memory means (for example, the memory 31 shown in Fig. 9) for storing a plurality of keys and common data, a generation means (for example, the reduction processing section 13 shown in Fig. 9) for

generating an authentication key from a key corresponding to the information from the other device out of the plurality of the keys stored in the memory means and the common data, a communication means (for example, the communication means 21 shown in Fig. 9) for communicating between the other device, and, a decipherment means (for example, the decipherment section 34 shown in Fig. 9) for deciphering the data enciphered by the other device using the authentication key.

In the above-mentioned authentication device, an authentication key is generated from a key corresponding to the information from the other device and common data.

The authentication device described in claim 24, the second decipherment means and changing means are additionally provided, when the first enciphered data which is generated by enciphering the second key using the first key and the second enciphered data which is generated by enciphering the third key having a prescribed relation to the second key using the first key are transmitted, together with the key identification number of the key to be changed, from the other device in order to change the first key out of the plurality of the keys stored in the memory means, the second decipherment means (for example, the decipherment section 34 shown in Fig. 18) decipheres the first enciphered data and second enciphered data using the first key corresponding to the key identification number of the key to be changed, and the changing means (for example, the control section 36 shown in Fig. 18) judges whether the deciphered second key and third key are in a prescribed relation and changes the first key using the second key correspondingly to the judgement result.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram for illustrating an exemplary structure of an authentication system of the present invention.

Fig. 2 is a diagram for illustrating an exemplary data structure of the memory 31 shown in Fig. 1.

Fig. 3 is a block diagram for illustrating an exemplary structure of a reduction processing section 13 shown in Fig. 1.

Fig. 4 is a block diagram for illustrating an exemplary structure of a two-input reduction circuit shown in Fig. 3.

Fig. 5 is a block diagram for illustrating an exemplary structure of a two-input reduction circuit shown in Fig. 3.

Fig. 6 is a block diagram for illustrating an exemplary structure of a two-input reduction circuit shown in Fig. 3.

Fig. 7 is a timing chart for describing operations of the authentication system shown in Fig. 1.

Fig. 8 is a block diagram for illustrating another exemplary structure of the reduction processing section

13 shown in Fig. 1.

Fig. 9 is a block diagram for illustrating an exemplary structure of an authentication system of a provider in the case that a reduction key is generated in the exemplary structure shown in Fig. 8.

Fig. 10 is a block diagram for illustrating an exemplary structure of an authentication system of the provider 2 in the case that a reduction key is generated in the exemplary structure shown in Fig. 8.

Fig. 11 is a block diagram for illustrating an exemplary structure of an authentication system of the provider 4 in the case that a reduction key is generated in the exemplary structure shown in Fig. 8.

Fig. 12 is a block diagram for illustrating generation of data to be stored in a memory 11 shown in Fig. 9.

Fig. 13 is a block diagram for illustrating generation of data to be stored in a memory 11 shown in Fig. 10.

Fig. 14 is a block diagram for illustrating generation of data to be stored in a memory 11 shown in Fig. 11.

Fig. 15 is a block diagram for illustrating yet another exemplary structure of the reduction processing section 13 shown in Fig. 1.

Fig. 16 is a block diagram for illustrating an exemplary structure of an authentication system of the provider 4 in the case that a reduction key is generated by the method shown in Fig. 15.

Fig. 17 is a timing chart for describing operations of the example shown in Fig. 16.

Fig. 18 is a diagram for describing operations for changing a key.

Fig. 19 is a block diagram for illustrating another authentication processing.

Fig. 20 is a diagram for illustrating a structure of a conventional authentication system.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Fig. 1 shows an exemplary structure of an authentication system of the present invention. The system comprises a controller 1, reader/writer 2, and IC card 3. A user instead of a commutation ticket for example, carries the IC card 3. The reader/writer 2 is installed at a ticket gate of a railway company who employs the IC card 3. In this specification, the term "system" means a whole apparatus having a plurality of devices.

The controller 1 is provided with a memory 11, which stores cipher keys for access to areas of a memory 31 of the IC card 3, and corresponding provider numbers. A communication section 12 communicates between a communication section 21 of the reader/writer 2 by wire or radio transmission. A reduction processing section 13 reads a cipher key of a prescribed number out of a plurality of cipher keys stored in the memory 11, and generates one reduction key. A controller 14 controls operations of components in the controller 1, and performs authentication processing.

The communication section 21 of the reader/writer

2 communicates between the communication section 12 of the controller 1 or a communication section 33 of the IC card 3. An encipherment section 22 enciphers a random number generated from a random number generation section 23, and deciphers an enciphered random number transmitted from the IC card 3. A control section 24 controls operations of components of the reader/writer 2, and performs authentication processing.

The IC card 3 is provided with the memory 31. The memory 31 is divided into a plurality of areas (for example 5 areas in Fig. 1). Each provider (for example, Railway Company) takes an access to the corresponding area, and writes and reads data as desired. Each area corresponds to a specific cipher key, and a corresponding cipher key *i* is required to take an access to a specified area *i*.

A reduction processing section 32 reduces a plurality of cipher keys, and generates one reduction key. An encipherment section 34 enciphers a random number generated by random number generation section 35, and deciphers the enciphered data supplied from the reader/writer 2. A controller 36 controls operations of components of the IC card 3, and performs authentication processing.

Fig. 2 shows a detailed example of a data structure of the memory 31 of the IC card 3. In this example, the area 51 is assigned as a common area, in which data common to respective providers are stored. The area 52 is assigned as an area for exclusive use by respective providers, and only the provider corresponding to a specific area can take an access to this area.

In an area 53, information required to manage the area 51 and area 52 is recorded. The information comprises provider numbers assigned to respective providers, block assignment information for indicating the area which is assigned to the provider, permission information such as that only reading is possible, only writing is possible, or both reading and writing are possible, cipher key, and version of the cipher key.

For example, the provider number 00 is common to respective providers, and as the block assignment information, an address of the area 51, which is the common area, is written. As the permission information, information which is possible to take an access to the area 51, which is the common area, is specified. Further, as the version information of the cipher key, the cipher key required to take an access to the area 51, which is the common area, and the version thereof are specified.

An area 54 is assigned as a system ID block, and an ID of a system to which this IC card 3 is applied is written.

The provider number, permission information, cipher key version, and cipher key shown in Fig. 2 are stored in the memory 11 of the controller 1.

Fig. 3 shows an exemplary structure of the reduction processing section 13 (or reduction processing section 32). The processing is performed actually by a

software in may cases.

In the reduction processing section 13 or 32, in the case that n cipher keys are provided in the IC card 3, ($n-1$) circuits of two-input reduction circuits 81-1 to 81- $(n-1)$ are provided, two data are inputted to each reduction circuits, and one data is outputted. In the two-input reduction circuit 81-1, a cipher key of the provider 1 (rail way company 1) and a cipher key of the provider 2 (rail way company 2) are inputted. The two-input reduction circuit 81-1 generates one reduction key from the two cipher keys, and supplies it to the subsequent two-input reduction circuit 81-2. The two-input reduction circuit 81-2 reduces the reduction key inputted from the two-input reduction circuit 81-1 and a cipher key of the provider 3 (rail way company 3), and outputs it to the subsequent two-input reduction circuit 81-3 (not shown in the drawing). The same processing is performed in each two-input reduction circuit 81- i , and the reduction key generated from the final two-input reduction circuit 81- $(n-1)$ is regarded as one final reduction key.

If $n=1$ (one cipher key is involved), the input cipher key is outputted, as it is, as a reduction key.

Figs. 4 to 6 show exemplary structures of the two-input reduction circuit 81- i shown in Fig. 3. An encipherment circuit 81- i shown in Fig. 4 enciphers an input from the front end correspondingly to a cipher key prepared previously, and outputs it to the rear end. For example, if the two-input reduction circuit 81-1 comprises the encipherment circuit 81- i , a cipher key of the provider 1 is inputted as a data, and a cipher key of the provider 2 is inputted as a cipher key. The cipher key (data) of the provider 1 is enciphered and outputted to the two-input reduction circuit 81-2.

An encipherment circuit 81- i shown in Fig. 5 receives the input from the front end, enciphers it, and outputs it to the rear end. For example, if the encipherment circuit 81- i is applied to the two-input reduction circuit 81-1, a cipher key of the provider 2 is inputted as a data, and a cipher key of the provider 1 is inputted as a cipher key. The cipher key of the provider 2 is enciphered using the cipher key of the provider 1, and outputted to the subsequent two-input reduction circuit 81-2 as a reduction key.

For example, DES (Data Encryption Standard) and EAL (Fast Data Encipherment Algorithm) are used as an encipherment method shown in Figs. 4 and 5.

In Fig. 6, the encipherment circuit 81- i comprises an exclusive OR circuit (XOR). For example, if the encipherment circuit 81- i is applied to the two-input reduction circuit 81-1 shown in Fig. 3, an exclusive OR of the cipher key of the provider 1 and the cipher key of the provider 2 is mathematically operated, and the mathematical operation result is outputted to the subsequent two-input reduction circuit 81-2 as a reduction key.

In Fig. 3, a cipher key of each provider is a digital data having 30 bytes. In this case, also a reduction key is a digital data having the same bytes.

Next, operations are described with reference to a

timing chart shown in Fig. 7. The controller 1 and reader writer 2 are shown as separate devices in this embodiment, however, it is possible to use these devices as one combined device.

The controller section 14 of the controller 1 controls the communication section 12, and indicates polling with a sufficiently short period (period sufficient for detecting passage of users who pass through a ticket gate of a rail way station having an IC card) for the reader writer 2 (step S1). Upon receiving the indication through the communication section 21, the control section 24 of the reader writer 2 controls the communication section 21 to perform polling to the IC card 3 (step S2). Upon receiving indication of polling from the communication section 21 of the reader writer 2 through the communication section 33, the control section 36 of the IC card 3 informs the existence of this control section 36 (step S3). Upon receiving this information from the IC card 3 through the communication section 21, the control section 24 of the reader writer 2 informs the existence of the IC card 3 to the controller 1 (step S4).

Upon receiving this information through the communication section 12, the control section 14 of the controller 1 controls the reduction processing section 13 (step S5). The reduction processing section 13 reads out the cipher key of an area to be addressed from the memory 11. In the example shown in Fig. 1, the cipher key 1, cipher key 2, and cipher key 4 are read out to the reduction processing section 13 to take access to the area 1, area 2, and area 4. The reduction processing section 13 reduces using these three cipher keys. In detail, as shown in Fig. 3, in the two-input reduction circuit 81-1, the cipher key 1 is enciphered using the cipher key 2, and outputted to the two-input reduction circuit 81-2. The two-input reduction circuit 81-2 enciphers the reduction key obtained by reducing the cipher key 1 and cipher key 2 supplied from the two-input reduction circuit 81-1 using the cipher key 3. The obtained reduction key is used as the final reduction key.

When one reduction key is generated as described herein above, the control section 14 informs it to reader writer 2 together with provider numbers (key number), number of providers (number of keys), and order of reduction processing (step S6). Upon receiving this information from the communication section 12 of the controller 1 through the communication section 21, the control section 24 of the reader writer 2 instructs the random number generation section 23 to generate a random number $r1$ (step S7). The controller 24 instructs the communication section 21 to inform the random number $r1$ to the IC card 3 (step S8). When, the control section 24 informs also the number of providers and provider numbers supplied from the controller 1 to the IC card 3.

Upon receiving this information, the controller 36 of the IC card 3 performs first reduction key generation processing (step S9). In detail, the control section 36

reads the cipher key corresponding to the provider number (key number) transmitted from the reader/writer 2 from the memory 31, supplies it to the reduction processing section 32 to perform reduction processing. In the case shown in Fig. 1, because the provider numbers corresponding to the cipher key 1, cipher key 2, and cipher key 4 are transmitted, the cipher key 1, cipher key 2, and cipher key 4 corresponding to these provider numbers respectively are read out from the memory 31, and supplied to the reduction processing section 32. The reduction processing section 32 reduces these three reduction keys in a specified order (for example, in order of input of the providers), and finally generates one reduction key. As the result, the same reduction key as the reduction key generated by the controller 1 in the step S5 is generated in the IC card 3.

Next, the control section 36 outputs the random number r1 informed from the reader/writer 2 and the reduction key generated from the reduction processing section 32 to the encipherment section 34, and the encipherment section 34 enciphers the random number r1 using the reduction key (step S10) to generate an enciphered random number R1.

The control section 36 generates a prescribed random number r2 in the random number generation section 35 (step S11). The control section 36 controls the communication section 33 to transfer the enciphered random number R1 and random number r2 generated in the step S11 to the reader/writer 2 (step S12).

Upon receiving supply of the random number r2 and enciphered random number R1, the control section 24 of the reader/writer 2 controls the encipherment section 22 to decipher the enciphered random number R1 using the reduction key received from the controller 1 (step S13). The controller 24 checks whether the random number obtained by deciphering is equal to the random number r1 generated in the step S7, and if the result is NO, then the IC card 3 is judged to be an improper IC card, and the control section 24 informs the judgement to the controller 1 in the step S14. Then, the controller 1 performs error processing (for example, prevent the user from passing the ticket gate).

On the other hand, if the deciphered random number is equal to the random number r1 in the step S13, then the control section 24 controls the encipherment section 22 to encipher the random number r2 supplied from the IC card 3 using the reduction key supplied from the controller 1, and an enciphered random number R2 is generated (step S15). Further, the control section 24 transfers the enciphered random number R2 generated as described herein above to the IC card 3 (step S16).

Upon receiving supply of the enciphered random number R2 as described herein above, the control section 36 of the IC card 3 controls the encipherment section 34 to decipher the enciphered random number R2 using the reduction key generated in the step S9 (step

S17). Whether the deciphered random number is equal to the random number r2 generated in the step S11 is judged. The judgement result is transferred to the reader/writer 2 through the communication section 33 (step S18).

Upon receiving information of authentication result from the IC card 3, the control section 24 of the reader/writer 2 informs this result to the controller 1 from the communication section 21 (step S19).

Upon receiving this information through the communication section 12, the controller 14 of the controller 1 performs error processing if the information indicates NG. On the other hand, if the information indicates OK (the IC card is judged to be a proper IC card), the control section 14 of the controller 1 outputs a necessary command such as read out or write to the reader/writer 2 (step S20). Upon receiving transfer of the command, the reader/writer 2 outputs a read or write command to the IC card 3 (step S21). In this case, read or write of the area 1, area 2, and area 4 of the IC card 3 is instructed as described herein above.

As the result, if write in the area 1, area 2, or area 4 is instructed, then the control section 36 of the IC card 3 performs write processing. If read is instructed, the control section 36 of the IC card 3 performs read processing. The read data is transferred from the IC card 3 to the reader/writer 2 (step S22), and transferred from the reader/writer 2 to the controller 1 (step S23).

As described herein above, when a plurality of areas receives the access, cipher keys required individually are not authenticated individually, (For example, in the case of the example shown in Fig. 1, authentication processing is performed not individually on the cipher key 1, cipher key 2, and cipher key 4 (in other words, performed not three times)), but one reduction key is generated from a plurality of cipher keys, and authentication processing is performed only once using this one reduction key, as the result, it is possible to perform authentication processing rapidly.

The number of bytes (length) of a reduction key is equal to that of a cipher key in this example, however, it is possible to use different number of bytes. Because a reduction key is used only for authentication, it is not necessary to restore a reduction key to a plurality of original cipher keys.

Fig. 8 shows another method for generating a reduction key. In this example, cipher keys K1 to Kn are assigned to respective providers 1 to n, and secret data D0 (not necessary secret because this data is common for the respective providers) which is contained previously are inputted to the first two-input reduction circuit 81-1, and the two-reduction circuit 81-1 enciphers the data D0 based on the cipher key D1 of the provider 1. Next, the two-input reduction circuit 81-2 enciphers the output D1 from the two-input reduction circuit 81-1 based on the cipher key K2 of the provider 2. Then, the same processing is performed successively by the two-input reduction circuit 81-i, the output from the final two-

input reduction circuit 81-n is the final reduction key.

When a reduction key is generated as shown in Fig. 3, the provider 2 can not generate a reduction key unless the provider 2 knows the cipher key of the provider 1. Because the respective providers are independent basically, it is not preferable for security that the cipher key of a certain provided is informed to other providers.

On the other hand, the method for generating a reduction key as shown in Fig. 8 is preferable because a provider can generate a reduction key without being informed the cipher key of another provider.

Figs. 9 to 11 show exemplary structures of a controller 1, reader writer 2, and IC card 3 of the provider 1, provider 2, and provider 4 for the case that a reduction key is generated by the method as shown in Fig. 8.

As shown in these drawings, a prescribed data (common data) D0 is stored previously in the memory 31 in addition to the cipher key K1 to cipher key K5 corresponding to the area 1 to area 5 respectively.

The cipher key K1 of this provider 1 and data D024 are stored in the memory 11 of the provider 1 (Fig. 9), the cipher key K1 of this provider 2 and data D014 are stored in the memory 11 of the provider 2 (Fig. 10), and the cipher key K4 of this provider 4 and data D012 are stored in the memory 11 of the provider 4 (Fig. 11).

These data (individual data) D024, D014, and D012 are generated by methods shown in Figs. 12 to 14.

In detail, the provider 1 asks the provider 2 to reduces the predetermined data D0 using the cipher key K2 in the two-input reduction circuit 81-1 to generate data D02 to obtain data D024. Then, the provider 1 provides the data D02 to the provider 4, the provider 4 then reduces using the cipher key K4 in the two-input reduction circuit 81-2 to generate data D024. The provider 1 receives supply of the data D024 from the provider 4, and stores it in the memory 11.

In this case, it may be possible that the data D0 is provided first to the provider 4, the provider 4 reduces it using the cipher key K4 to generate data D04, and then the data D04 is provided to the provider 2, the provider 2 reduces it using the cipher key K2 to generate data D042, and the data D042 is stored in the memory 11. The provider 1 stores the order of reduction which indicates that the reduction is performed in what order.

As shown in Fig. 13, the provider 2 asks the provider 1 to generates data D01 which is generated by reducing data D0 using the cipher key K1. The provider 2 provides the data D01 to the provider 4, and asks the provider 4 to generate data D014 by reducing the data D01 using the cipher key K4. The data D014 is stored in the memory 11. Like the preceding case, it may be possible that the provider 2 asks reduction processing first to the provider 4, and then provides the data D04 generated using the cipher key K4 to the provider 1, the provider 1 reduces it using the cipher key K1 to generate data D41, the provider 2 obtains the data D041 and stores it in the memory 11. The provider 2 stores also

the order of reduction in the memory 11.

Further, as shown in Fig. 14, the provider 4 asks the provider 1 to degenerate the data D0 using the cipher key K1, the provider 1 generates data D01. The data D01 is provided to the provider 2, the provider 2 reduces it using the cipher key K2 to generate data D012. The provider 4 stores the data D012 in the memory 11. Like the preceding cases, it may be possible that the provider 4 asks reduction processing first to the provider 2 to degenerate the data D0 using the cipher key K2, data D02 is generated, the provider 1 reduces the data D02 using the cipher key K1 to generate data D021. The provider 4 also stores the order of reduction in the memory 11.

The respective providers can perform authentication processing as described herein under. For example, in the provider 1, the control section 14 controls the reduction processing section 13 as shown in Fig. 9, reads the data D024 and cipher key K1 from the memory 11 to generate a reduction key. The reduction key is transferred to the reader writer 2. When, the number of providers (in this example, the number is three), provider numbers (in this example, provider 1, provider 2, and provider 4), and order of reduction (in this example, in the order of the provider 2, provider 4, and provider 1) are informed to the reader writer 2. The control section 24 controls the communication section 21, and informs the number of providers, provider numbers, and order of reduction transferred from the control section 14 of the controller 1 to the IC card 3.

In the IC card 3, when the communication section 33 receives these information, the control section 36 controls the reduction processing section 32 correspondingly to these information. The reduction processing section 32 reads the data D0 from the memory 31, reduces the data D0 successively using a specified order and the cipher key of a specified provider number to generate a reduction key. In detail, the data D0 is reduced using the cipher key K2, and a reduction key is generated. The reduction key generated as described herein above is the same reduction key as generated by the reduction processing section 13 of the controller 1.

Accordingly, authentication processing is performed by performing processing following the step S10 as in the case described with reference to Fig. 7. The reader writer 2 of the provider 1 can take an access to the area 1, area 2, and area 4 of the memory 31 of the IC card 3.

On the other hand, in the provider 2, as shown in Fig. 10, the control section 14 controls the reduction processing section 13 to read the data D014 from the memory 11, and to regenerate it using the cipher key K2 read from also from the memory 11. The generated reduction key is transferred to the reader writer 2. When, the number of providers (in this example, the number is three), provider numbers (in this example, provider 1, provider 2, and provider 4), and order of reduction (in this example, in the order of the provider 1,

provider 4, and provider 2) are informed to the reader writer 2.

The reader writer 2 transfers these information to the IC card 3. In the IC card 3, a reduction key is generated corresponding to these information.

In detail, the reduction processing section 32 of the IC card 3 reads the data D0 from the memory 31, reduces first using the cipher key K1 to obtain data D01. The data D01 is then reduced using the cipher key K4 and data D014 is generated. The data D014 is reduced using the cipher key K2. The reduction key generated as described herein above is the same reduction key as generated by the controller 1. Therefore the reader writer 2 of the provider 2 can take an access to the area 1, area 2, and area 4 of the memory 31 of the IC card 3.

Further as shown in Fig. 11, in the provider 4, the control section 14 of the controller 1 controls the reduction processing section 13 to degenerate the data D012 stored in the memory 11 using the cipher key K4 and to generate a reduction key, and transfers it to the reader writer 2. When, the number of providers (in this example, the number is three), provider numbers (in this example, provider 1, provider 2, and provider 4), and order of reduction (in this example, in the order of the provider 1, provider 2, and provider 4) are informed. These information is transferred to the IC card 3. The IC card 3 performs reduction processing based on these information.

In detail, the reduction processing section 32 reads the data D0 from the memory 31, and generates data D01 using the cipher key K1. The data D01 is then reduced using the cipher key K2, and data D012 is generated. The data D012 is reduced using the cipher key K4, and the final reduction key is generated. The reduction key generated as described herein above is the same reduction key as generated by the controller 1. Therefore the reader writer 2 can take an access to the area 1, area 2, and area 4 of the memory 31 of the IC card 3.

Fig. 15 shows yet another method for reduction key generation. In this method, data Dn-1 inputted to the two-input reduction circuit 81-n which generates the final reduction key and an ID number held previously by the IC card are mathematically operated, the mathematical operation result is subjected to processing using the cipher key Kn, and a reduction key is generated. Other processes are performed as shown in Fig. 8.

Fig. 16 shows an exemplary structure of a controller 1, reader writer 2, and IC card 3 for generating a reduction key according to the method shown in Fig. 15. This structure shows the structure of the provider 4. As shown in this drawing, the memory 11 of the controller 1 stores data D012, cipher key K4, and reduction order. The reader writer 2 is provided with an ID acquisition section 211 for acquiring an ID from the data received by the communication section 21. The IC card 3 stores previously an ID number specific to the IC card 3 in the memory 201 (the memory 201 may be the same mem-

ory as the memory 31).

By performing authentication processing in such the way using ID number, confusion which may happen when a plurality of users having IC cards containing the same provider combination (for example, combination of the provider 1, provider 2, and provider 4) passed adjacently together a ticket gate of a certain provider can be avoided.

In detail, when a plurality of IC cards 3 passes near the reader writer 2 of a certain provider, the plurality of IC cards 3 responses respectively to the request from the reader writer 2, the reader writer 2 can not judges that this response is a response from which IC card, and erroneous processing can be performed. However, by using the ID number, such confusion can be avoided.

For example, as shown in Fig. 17, when an IC card 3A and IC card 3B are passing near the reader writer 2, the reader writer 2 requests an ID to the IC card 3 (step S41). Not only a communication section 33 of the IC card 3A but also a communication section 33 of the IC card 3B receive the request. Upon receiving an ID request signal as described herein above, the control section 36 of the IC card 3A controls the random number generation section 35 to generate a certain random number (step S42). The control section 36 of the IC card 3A performs assignment processing of time slot corresponding to the generated random number (step S43). In detail, communication between the reader writer 2 and IC card 3 is performed by time-division multiplex operation, the IC card 3A assigns a time slot corresponding to the generated random number out of a plurality of time slots as the time slot for communication of this IC card 3A. At the timing of the assigned time slot, the control section 36 of the IC card 3A transmits an ID number (IDA) read out from the memory 201 to the reader writer 2 through the communication section 33 (step S44).

The same processing is performed in the other IC card 3B. In detail, upon receiving an ID request signal from the reader writer 2, the control section 36 of the IC card 3B controls the random number generation section 35 to generate a random number (step S45). The control section 36 of the IC card 3B assigns the time slot corresponding to the generated random number as the time slot of this IC card 3B (step S46). An ID number (IDB) stored in the memory 201 is read out, and transferred to the reader writer 2 at the timing corresponding to the assigned time slot (step S47).

When the communication section 21 receives the ID number transmitted from the IC cards 3A and 3B, the reader writer 2 supplies it to the ID acquirement section 211 for storing. The control section 24 controls the random number generation section 23 to generate a random number r1 (step S48). Further, the control section 24 selects the ID which is acquired, for example, first out of acquired ID's (step S48). The control section receives supply of data D02, cipher key K4, and reduction order, and generates a reduction key corresponding to these

information.

First, the control section 24 performs prescribed mathematical operation on the selected ID (for example, IDA of the IC card 3) namely data D012. The mathematical operation may be addition or mathematical operation of exclusive OR. The control section 24 reduces the mathematical operation result using the cipher key K4 to generate a reduction key.

Further, the number of providers, provider numbers, reduction order, and random number r1 are transmitted to the IC card 3 (step S50). The information is received by both the IC card 3A and IC card 3B. Upon receiving the information, the IC card 3B reduces the data D0 using the cipher key K1 according to the specified order and obtains data D01, the data D01 is reduced using the cipher key K2 and data D012 is obtained (step S51). The IDB is read out from the memory 201, the result obtained by mathematical operation of the IDB and data D012 is reduced using the cipher key K4.

The encipherment section 34 deciphers the enciphered random number r1 using the reduction key generated as described herein above. However, because the random number r1 was enciphered using the reduction key generated using the IDA, the random number r1 can not be deciphered using the reduction key generated using the IDB. Therefore the IC card 3B does not respond to transmission from the reader writer 2 hereafter.

On the other hand, in the IC card 3A, the control section 36 generates a reduction key corresponding to the information transmitted from the reader writer 2 (step S52). In detail, the reduction processing section 32 of the IC card 3A reduces data D0 read out from the memory 31 first using the cipher key K1 read out from the area 1 according to the specified reduction order to generate data D01. The data D01 is reduced using the cipher key K2 read out from the area 2, and data D012 is generated. The data D012 and ID number (IDA) read out from the memory 210 are subjected together to prescribed mathematical operation, and the mathematical operation result is reduced using the cipher key K4 read out from the area 4 to generate a reduction key. The reduction key generated as described herein above is the same reduction key as generated by the reader writer 2 in the step S49.

Accordingly, by performing the processing of the step S53 to step S59 which are corresponding to the step S10 to step S17 in Fig. 7 hereafter, authentication processing is performed. The processing is the same processing as described with reference to Fig. 7, and the description is omitted.

Fig. 18 shows a method for changing a cipher key. For example, when the provider 1 wants to change the cipher key K1, a prescribed random number e1 is generated, and used as a new key K1'. When the cipher key of the provider itself is changed as described herein above, the provider 1 can change desirably by itself the

cipher key K1 stored in the area 1 of the memory 31 of the IC card 3 of the user who uses the reader writer 2 of the provider 1. However, it is required that the cipher key K1 of the IC card 3 of a user who uses the reader writer 2 of other provider 2 or provider 4 must be changed. In this case, the provider 1 can change the cipher key K1 to the new cipher key K1' without notifying the new cipher key K1' to other provider 2 or provider 4.

In this case, the provider 1 generates data C1 and C2 first by mathematical operation of the following equations.

$$C1=E(e1, K1)$$

$$C2=E(e2, K1)$$

Herein, E(A, B) means encipherment of the data A using the key B. A method of encipherment such as DES or FEAL may be used.

e2 is a value which satisfies the following equation.

$$e1+e2=F$$

The value F is a predetermined value, which other provider 2 and provider 4 know the value as a value which is to be used when they change their cipher keys, and previously stored in the memory 31 of the IC card 3.

When the provider 1 generates the data C1 and C2 as described herein above, the provider 1 informs this value to other providers together with the key number (in this case, the key number 1) assigned to the cipher key K1 of the provider 1. Each respective provider changes, using these data, the key K1 in the memory 31 of the IC card 3 which uses its reader writer 2 by the way as described herein under. This change processing is described herein under with an example for the provider 4.

In detail, the reader writer 2 of the provide 4 transmits the data C1 and C2 to the IC card 3. The encipherment section 34 of the IC card 3 calculates e1 and e2 by performing mathematical operation of the following equations.

$$e1=D(C1, K1)$$

$$e2=D(C2, K1)$$

Herein, D(A, B) means decipherment of the data A using the key B.

Accordingly, the IC card 3 can obtain the data e1 and e2 by deciphering the data C1 and C2 using the key K1 stored in the memory 31.

The control section 36 adds e1 and e2 obtained as described herein above, and judges whether the addition result is equal to the prescribed value F stored previously in the memory 31. If the result is YES, then the data e1 obtained by deciphering the data C1 is registered as a new key K1' which will be used instead of the

key K1.

On the other hand, if the sum of e1 and e2 is not equal to F, change processing is not performed because the change request is regarded improper.

For example, if a malicious provider wants to alter the cipher key K1 of the provider 1 and mathematically operates the following equation to obtain e1' and e2'.

$$e1'=D(C1', K1)$$

$$e2'=D(C2', K1)$$

C1' and C2' are values which the malicious provider sets fittingly.

However, if e1' and e2' generated as described herein above are added, the addition result is generally not equal to the value F. It takes a long time to find a combination of e1' and e2' which results in the value equal to F, and it is substantially very difficult. Therefore the alteration of a cipher key by a third party is prevented.

The provider 2 also performs the same processing to change the cipher key K1 in the memory 31 of the IC card which uses the reader writer 2 of the provider 2.

When the cipher key K1 of the provider 1 is changed as described herein above, the provider 1, provider 2, and provider 4 perform again the same processing as described with reference to Figs. 12 to 14 to change data D024, D014, and D012 to be stored respectively.

Fig. 19 shows another method of authentication processing. A reader writer 2 shown in Fig. 2 represents the reader writer of the provider 4.

In this example, the control section 24 generates a reduction key Ks using the cipher key K4 and data D012 stored in the memory 11. The control section 24, for example, synthesizes an even number bit and odd number bit of the cipher key K4 to generate the first reduction key K4s1, and synthesizes an odd number bit and even number bit of the cipher key K4 to generate the second reduction key K4s2.

The first reduction key K4s1 is inputted to the encipherment section 22A of the encipherment section 22, and is used to encipher a random number generated by the random number generation section 23. The enciphered random number is transmitted to the IC card 3. When, as in the case described herein above, information required to generate a reduction key is transmitted simultaneously to the IC card 3.

The IC card 3 reads out the data D0 from the memory 31 using this information, and generates a reduction key Ks by applying cipher keys K1, K2, and K4 successively. The reduction key Ks has the same value as the reduction key Ks generated by the reader writer 2.

The control section 36 generates the first reduction key K4s1 and second reduction key K4s2 by performing the same processing as the reader writer 2.

The decipherment section 34B of the encipherment section 34 deciphers the enciphered random number

transmitted from the reader writer 2, and transmits the deciphered random number to the encipherment section 34A. The encipherment section 34A enciphers it using the second reduction key K4s2 and transmits it to the reader writer 2.

The decipherment section 22B of the encipherment section 22 in the reader writer 2 deciphers the enciphered random number transmitted from the IC card 3. The deciphered result is transmitted to the control section 24.

The random number deciphered as described herein above is equal to the same random number as generated by the random number generation section 23 if the IC card is proper. Accordingly, by judging whether the received random number is equal to the generated random number, authentication processing is performed.

Claims

1. An authentication system for performing authentication processing between a first device and a second device, said first device comprising:

first memory means for storing a plurality of keys;

first generation means for generating one authentication key from the arbitrary number of keys out of the plurality of said keys stored in said first memory means; and

first communication means for communicating with said second device, and said second device comprising:

second memory means for storing a plurality of keys;

second generation means for generating one authentication key from the arbitrary number of keys out of the plurality of said keys stored in said first memory means; and

second communication means for communicating with said first device;

the one device out of said first device and second device having encipherment means for enciphering using said authentication key, and the other device out of said first device and second device having decipherment means for deciphering data enciphered by said encipherment means using said authentication key.

2. An authentication system according to claim 1, wherein any one device of said first device and second device additionally has notification means for notifying information required to generate one corresponding authentication key from arbitrary number of keys out of the plurality of said keys stored therein to the other device, and the other device of said first device and second device generates said authentication key corresponding to the

information notified by said notification means.

3. An authentication system according to claim 1, wherein at least any one of said first device and second device has a random number generation means for generating a random number, said encipherment means enciphers a random number generated by said random number generation means, and said decipherment means deciphers enciphered said random number.

4. An authentication system according to claim 1, wherein said second device has a plurality of information recording areas corresponding to the plurality of said keys.

5. An authentication method for performing authentication processing between the first device and second device,

the method in said first device comprising:
a first memory step for storing a plurality of keys;
a first generation step for generating one authentication key from the arbitrary number of keys out of the plurality of said keys stored in said first memory step; and
a first communication step for communicating between said second device; and
the method in said second device comprising:
a second memory step for storing a plurality of keys;
a second generation step for generating one authentication key from the arbitrary number of keys out of the plurality of said keys stored in said first memory step; and
a second communication step for communicating between said first device;
the one device out of said first device and second device performing processing in an encipherment step for enciphering using said authentication key, and
the other device out of said first device and second device performing processing in a decipherment step for deciphering data enciphered in said encipherment step using said authentication key.

6. An authentication device for performing authentication processing with another device comprising:

communication means for communicating between said other device;
memory means for storing a plurality of keys;
generation means for generating one authentication key from the arbitrary number of keys out of a plurality of said keys stored in said memory means;

notification means for notifying information required to generate one corresponding authentication key from the arbitrary number of keys out of the plurality of said keys stored in said other device and data to be enciphered using said authentication key to said another device; and

decipherment means for decoding using said authentication key the data enciphered by said another device using said authentication key.

7. An authentication device according to claim 6, wherein said notification means notifies a random number as said data to be enciphered.

8. An authentication method for performing authentication processing with another device comprising steps of:

a communication step for communicating with said another device;
a memory step for storing a plurality of keys,
a generation step for generating one authentication key from the arbitrary number of keys out of a plurality of said keys stored in said memory step,
a notification step for notifying information required to generate one corresponding authentication key from the arbitrary number of keys out of the plurality of said keys stored in said another device and data to be enciphered using said authentication key to said another device, and
a decipherment step for deciphering using said authentication key the data enciphered by said another device using said authentication key.

9. An authentication device for performing authentication processing with another device having:

communication means for communicating with said another device;
memory means for storing a plurality of keys;
generation means for generating one authentication key from the arbitrary number of keys out of the plurality of said keys stored in said memory means based on information notified by said other device; and
an encipherment means for enciphering the data notified by said another device using said authentication key.

10. An authentication device according to claim 9, wherein a plurality of information recording areas corresponding to the plurality of said keys is provided additionally.

11. An authentication method for performing authenti-

cation processing with another device comprising steps of:

- a communication step for communicating with said another device; 5
- a memory step for storing a plurality of keys;
- a generation step for generating one authentication key from the arbitrary number of keys out of the plurality of said keys stored in said memory step based on information notified by said another device; and 10
- an encipherment step for enciphering the data informed from said another device using said authentication key. 15

12. An authentication system for performing authentication processing between the first device and second device, said first device comprising: 20

- first memory means for storing a key assigned to this device and individual data generated using a prescribed common data and a prescribed number of keys held by said second device; 25
- first generation means for generating an authentication key from said key stored in said first memory means and said individual data; 30
- first notification means for notifying information required for said another device to generate corresponding said key; and
- first communication means for communicating between said second device; and 35
- said second device comprising:
 - second memory means for storing a plurality of keys and said common data;
 - second generation means for generating said authentication key from a key corresponding to the information from said communication means of said first device out of the plurality of said keys stored in said second memory means and said common data; and 40
 - second communication means for communicating between said first device; and 45
 - the one device out of said first device and second device having encipherment means for enciphering using said authentication key, and
 - the other device out of said first device and second device having decipherment means for deciphering the data enciphered by said encipherment means using said authentication key. 50

13. An authentication system according to claim 12, wherein: 55

- said authentication key comprises the first

authentication key and second authentication key,

said first generation means generates said first authentication key from said key stored in said first memory means assigned thereto and said individual data, and generates said second authentication key using said key assigned thereto and said first authentication key, said second generation means generates said first authentication key from a key corresponding to the information from said notification means of said first device out of the plurality of said keys stored in said second memory means and said common data, and generates said second authentication key using said first authentication key and a key corresponding to the information from said notification means of said first device, and

both said first device and second device have said encipherment means and decipherment means, respectively,

the one device out of said first device and second device additionally has random-number generation means for generating a random number,

encipherment means of the one device out of said first device and second device enciphers the random number generated from said random number generation means of the one device out of said first device and second device using said first authentication key, decipherment means of the other device out of said first device and second device deciphers said random number enciphered by the encipherment means of the one device out of said first device and second device using said first authentication key,

encipherment means of the other device out of said first device and second device enciphers said random number deciphered by the decipherment means of the other device out of said first device and second device using said second authentication key, and

decipherment means of the one device out of said first device and second device deciphers said random number enciphered by the encipherment means of the other device out of said first device and second device using said second authentication key.

14. An authentication system according to claim 12, wherein:

the one device out of said first device and second device receives from the other device the device identification number specific to the other device,

the other device out of said first device and sec-

ond device stores said device identification number additionally in said first memory means or second memory means, and

said first generation means of said first device and said second generation means of said second device additionally use said device identification number for generating said authentication key.

15. An authentication system according to claim 12, wherein the first communication means of said first device, when a prescribed first key out of the plurality of said keys stored in said second memory means of said second device is changed using a new second key, transmits the first enciphered data which is generated by enciphering said second key using said first key and the second enciphered data which is generated by enciphering the third key having a prescribed relation to said second key using said first key to said second device together with the key identification number of said key to be changed.

16. An authentication system according to claim 15, wherein said second device comprises:

the second decipherment means for deciphering said first enciphered data and second enciphered data received from said first communication means of said first device using said first key corresponding to said key identification number; and

changing means for determining whether said second key and third key are in a prescribed relation and for changing said first key using said second key corresponding to the determination result.

17. An authentication method for performing authentication processing between the first device and second device,

the method in said first device comprising:
a first memory step for storing a key assigned to this device and storing individual data generated using a prescribed common data and a prescribed number of keys held by said second device;

a first generation step for generating an authentication key from said key stored in said first memory step and said individual data;
an first information step for notifying information required for said another device to generate corresponding said key; and
a first communication step for communicating with said second device; and

the method in said second device comprising:

a second memory step for storing a plurality of keys and said common data;

a second generation step for generating said authentication key from a key corresponding to the information from said communication step of said first device out of the plurality of said keys stored in said second memory step and said common data; and

a communication step for communicating with said first device, and

the one device out of said first device and second device performing an encipherment step for enciphering using said authentication key, and

the other device out of said first device and second device performing a decipherment step for deciphering the data enciphered in said encipherment step using said authentication key.

18. An authentication device for performing authentication processing with the other device comprising:

memory means for storing a key assigned to this device and storing individual data generated using prescribed common data and a prescribed number of keys held by said another device;

generation means for generating an authentication key from said key stored in said memory means and said individual data;

notification means for notifying information required for said other device to generate corresponding said authentication keys;

communication means for communicating between said another device; and

encipherment means for enciphering using said authentication key.

19. An authentication device according to claim 18, wherein said generation means uses a device identification number specific to said another device for generating said authentication key.

20. An authentication device according to claim 18, wherein said communication means transmits the first enciphered data generated by enciphering said second key using said first key and the second enciphered data generated by enciphering the third key having a prescribed relation to a new second key using said first key to said another device together with the key identification number of said key to be changed when the prescribed first key out of the plurality of said keys stored in said another device is changed using said second key.

21. An authentication method for performing authentication processing with the other device comprising steps of:

a memory step for storing a key assigned to this device and storing individual data generated using a prescribed common data and the prescribed number of keys held by said another device; 5
 a generation step for generating an authentication key from said key stored in said memory step and said individual data; 10
 a notification step for notifying information required for said another device to generate corresponding said authentication key; 15
 a communication step for communicating with said another device; and
 an encipherment step for enciphering using said authentication key. 20

22. An authentication device for performing authentication processing with the other device having:

memory means for storing a plurality of keys and common data; 25
 generation means for generating an authentication key from a key corresponding to the information from other device out of the plurality of said keys stored in said memory means and said common data; 30
 communication means for communicating with said another device; and
 decipherment means for deciphering an enciphered data by said another device using said authentication key. 35

23. An authentication device according to claim 22, wherein said generation means uses a device identification number specific to this device in addition to the notifying information from said another device. 40

24. An authentication device according to claim 22, wherein the second decipherment means and changing means are additionally provided, when the first enciphered data generated by enciphering the second key using the first key and the second enciphered data generated by enciphering the third key having a prescribed relation to said second key using said first key are transmitted, together with the key identification number of said key to be changed, from said another device in order to change said first key out of the plurality of said keys stored in said memory means, said second decipherment means deciphers said first enciphered data and second enciphered data using said first key corresponding to said key identification number of the key to be changed, and said changing means 45
 50
 55

determines whether deciphered said second key and third key are in a prescribed relation and changes said first key using said second key corresponding to the determination result.

25. An authentication method for performing authentication processing with the other device comprising:

a memory step for storing a plurality of keys and common data;
 a generation step for generating an authentication key from a key corresponding to the information from said other device out of the plurality of said keys stored in said memory step;
 a communication step for communicating with said another device; and
 a decipherment step for deciphering the data enciphered by said another device using said authentication key.

FIG. 1

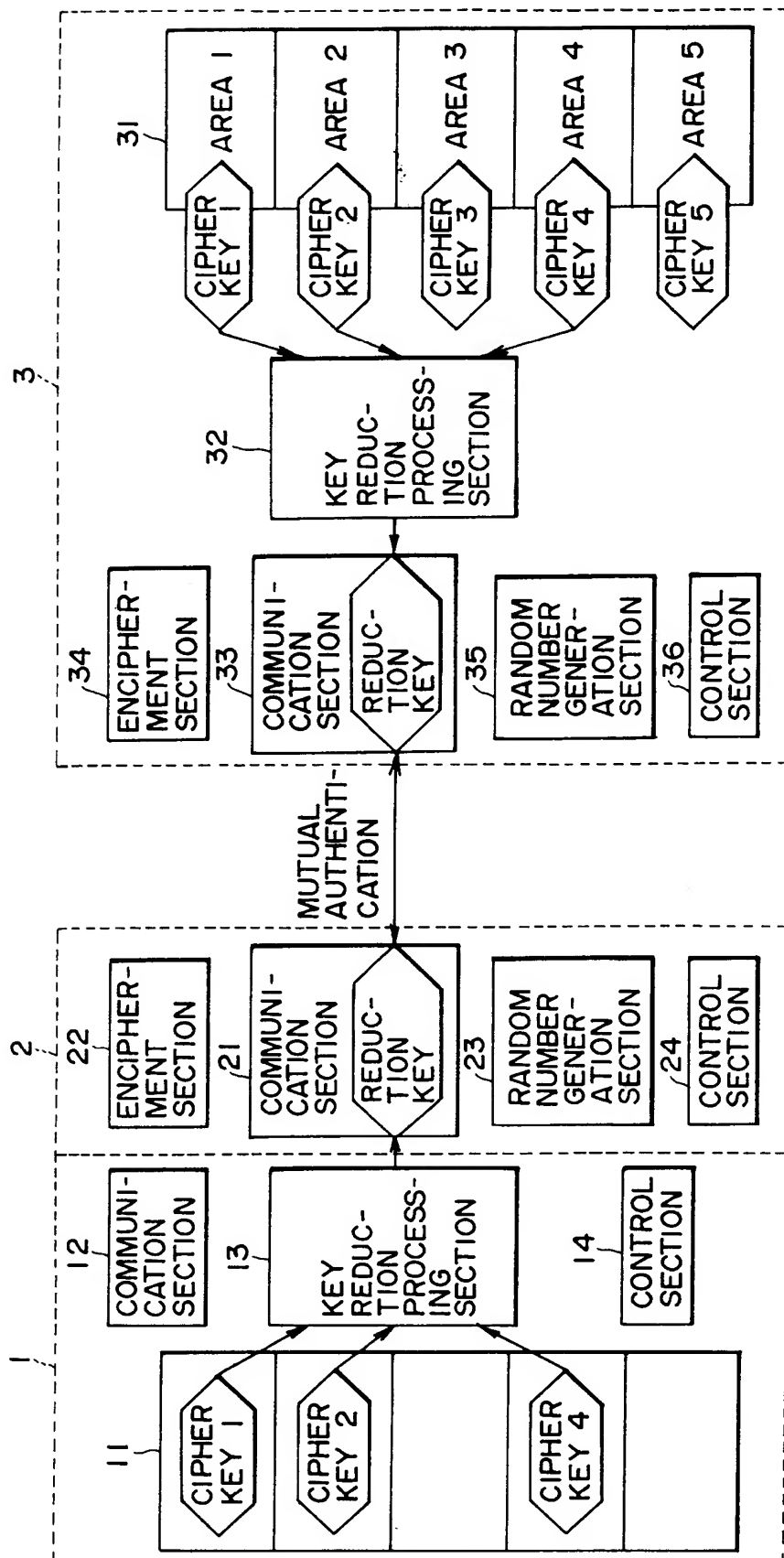
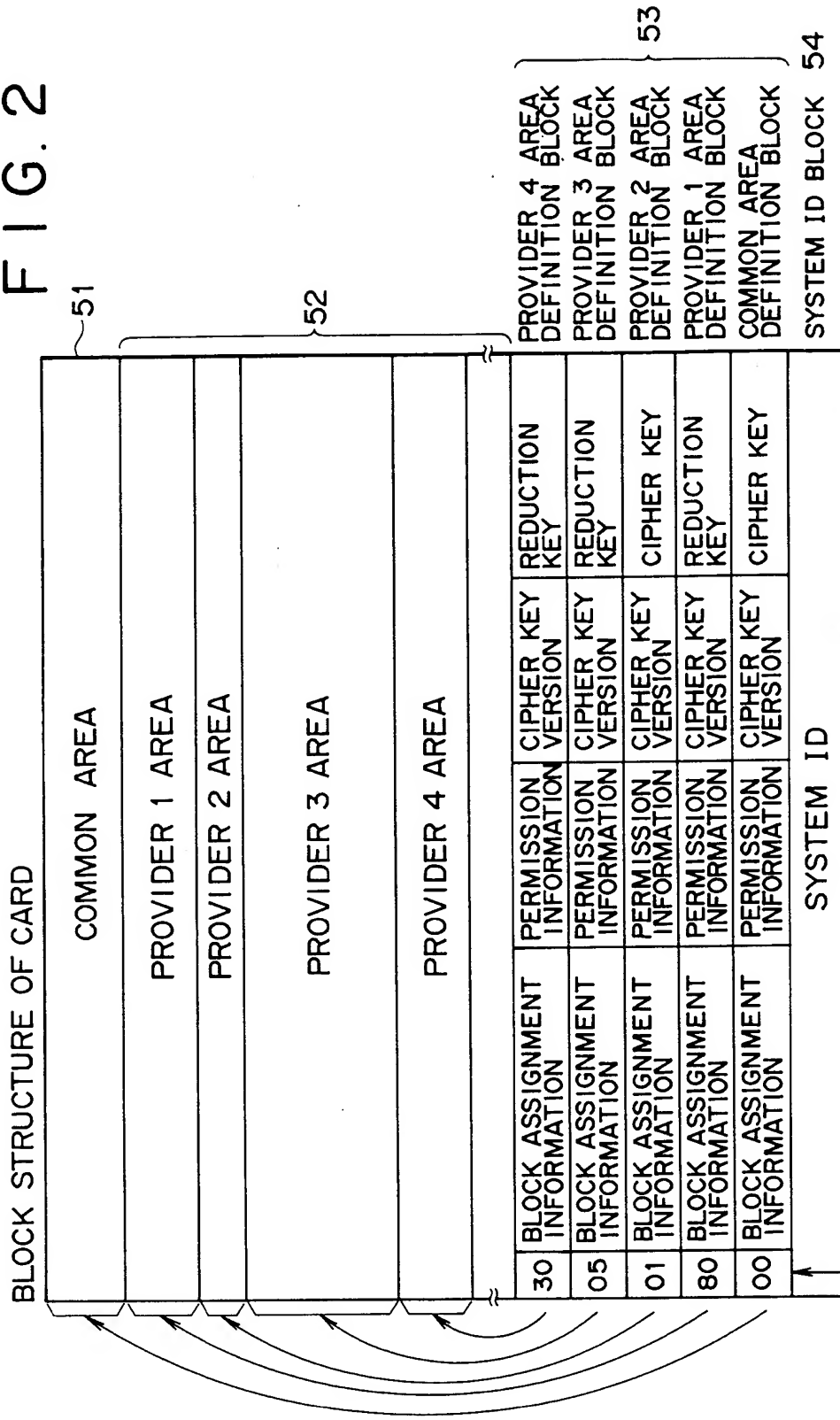


FIG. 2



PROVIDER NUMBER

31

FIG. 3A

REDUCTION KEY GENERATION PROCESSING

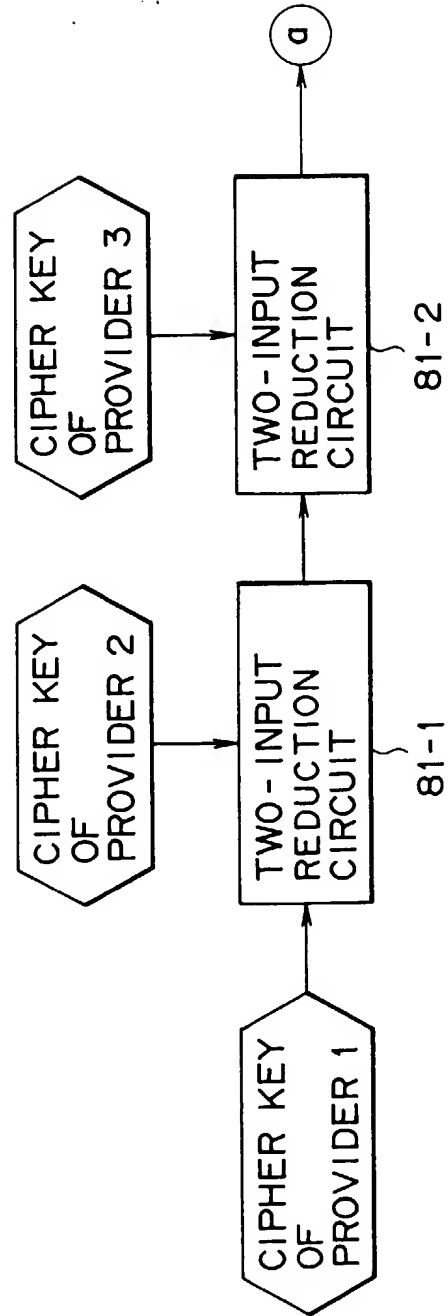


FIG. 3B

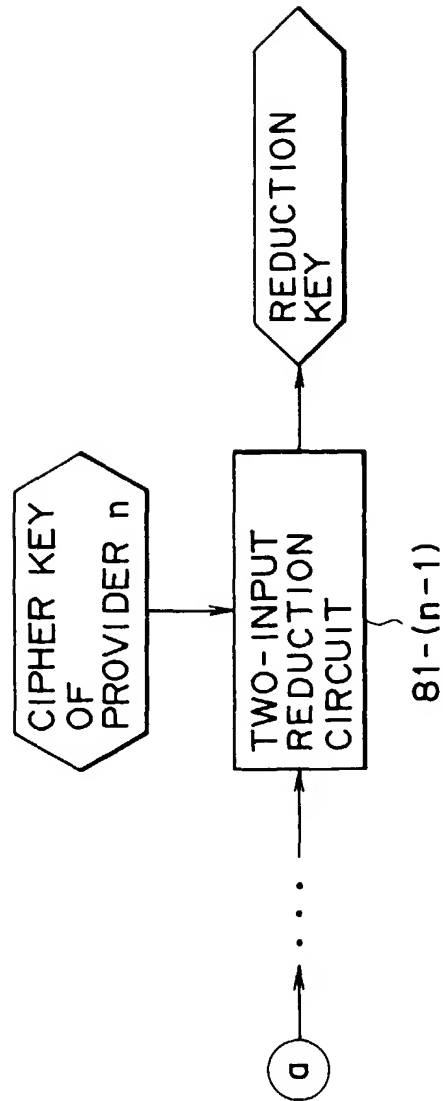


FIG. 3

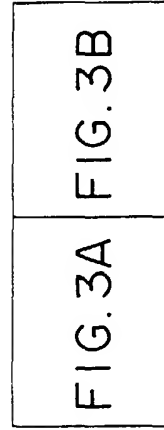


FIG. 4

TWO-INPUT REDUCTION
CIRCUIT

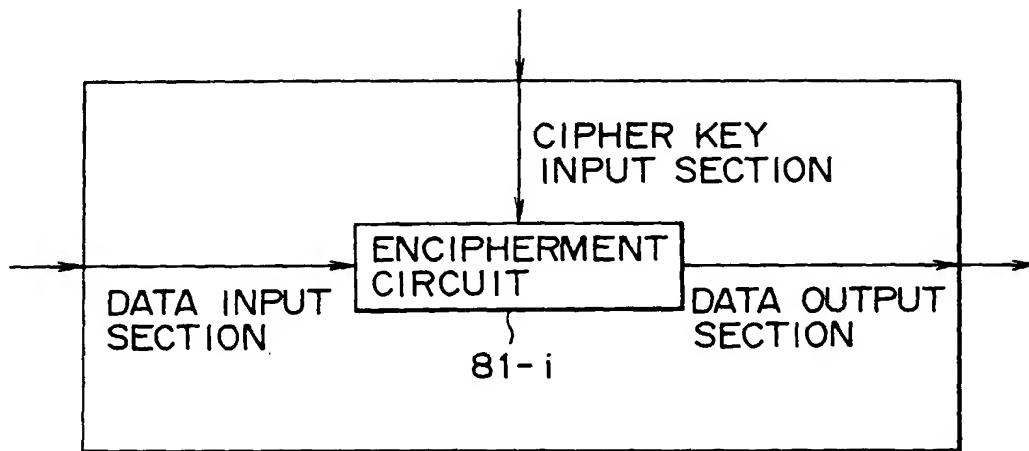


FIG. 5

TWO-INPUT REDUCTION
CIRCUIT

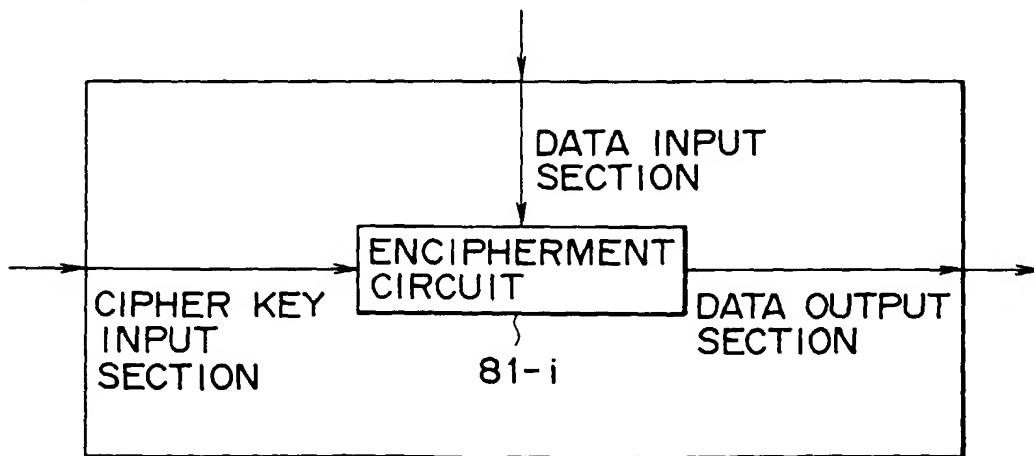


FIG. 6

TWO-INPUT REDUCTION
CIRCUIT

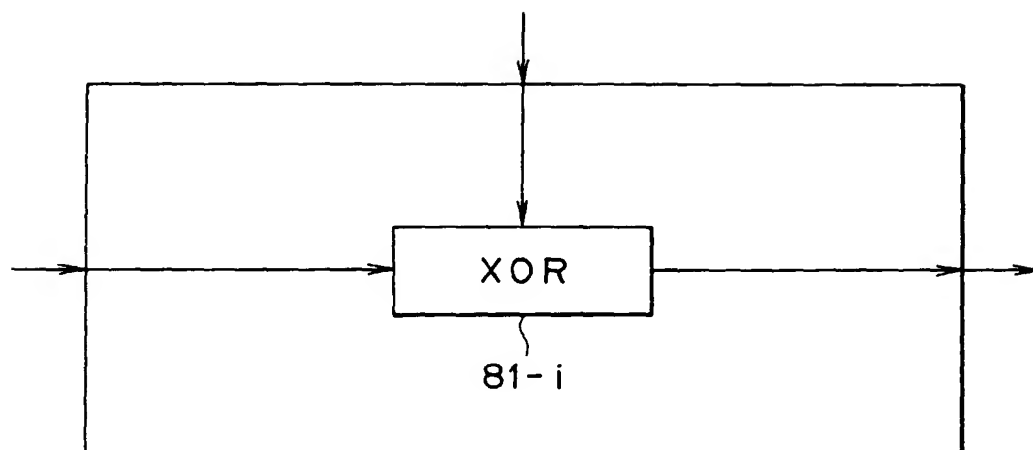


FIG. 7A

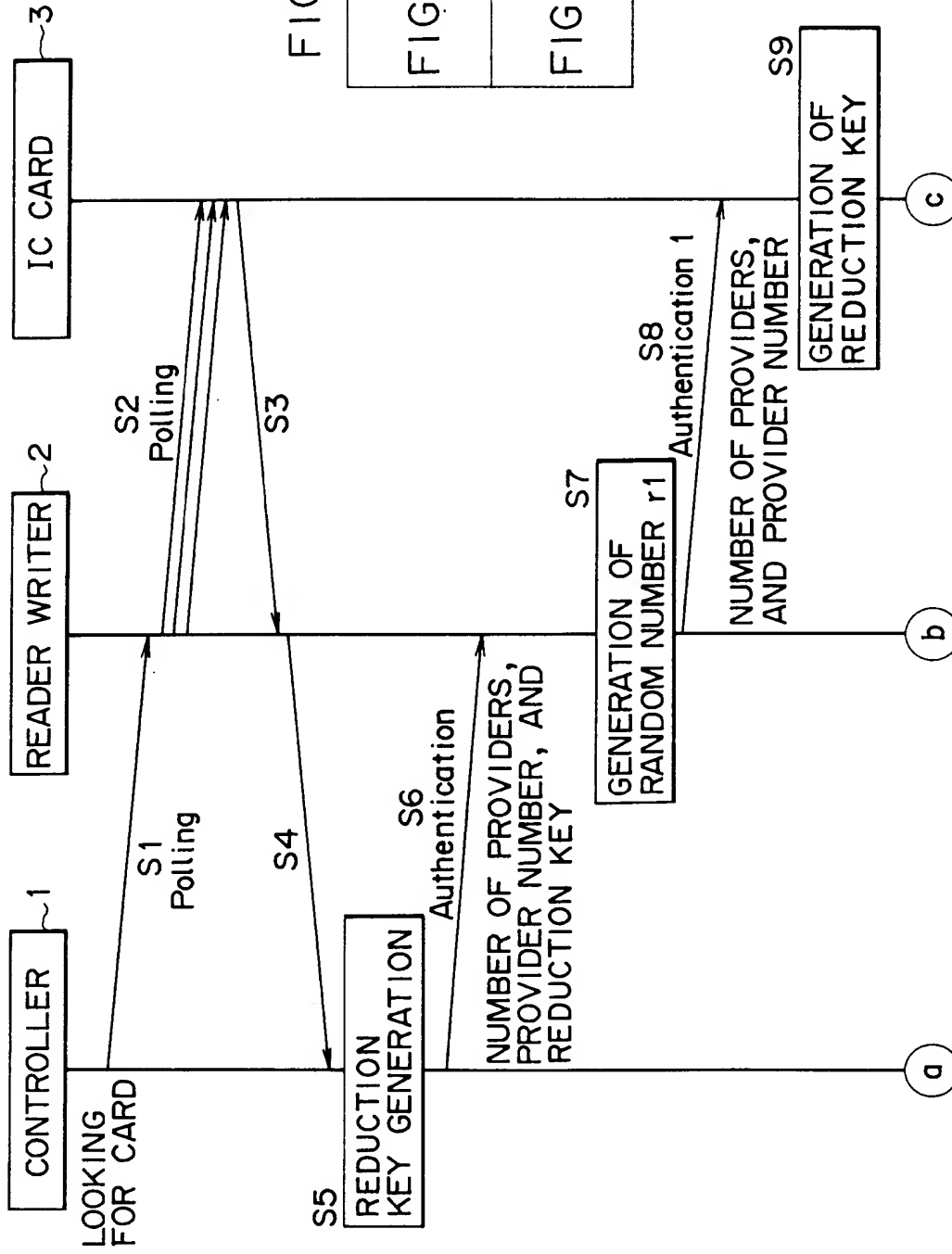


FIG. 7

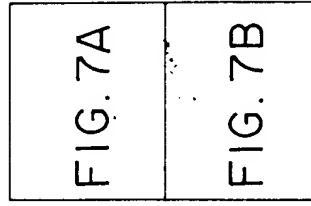


FIG. 7B

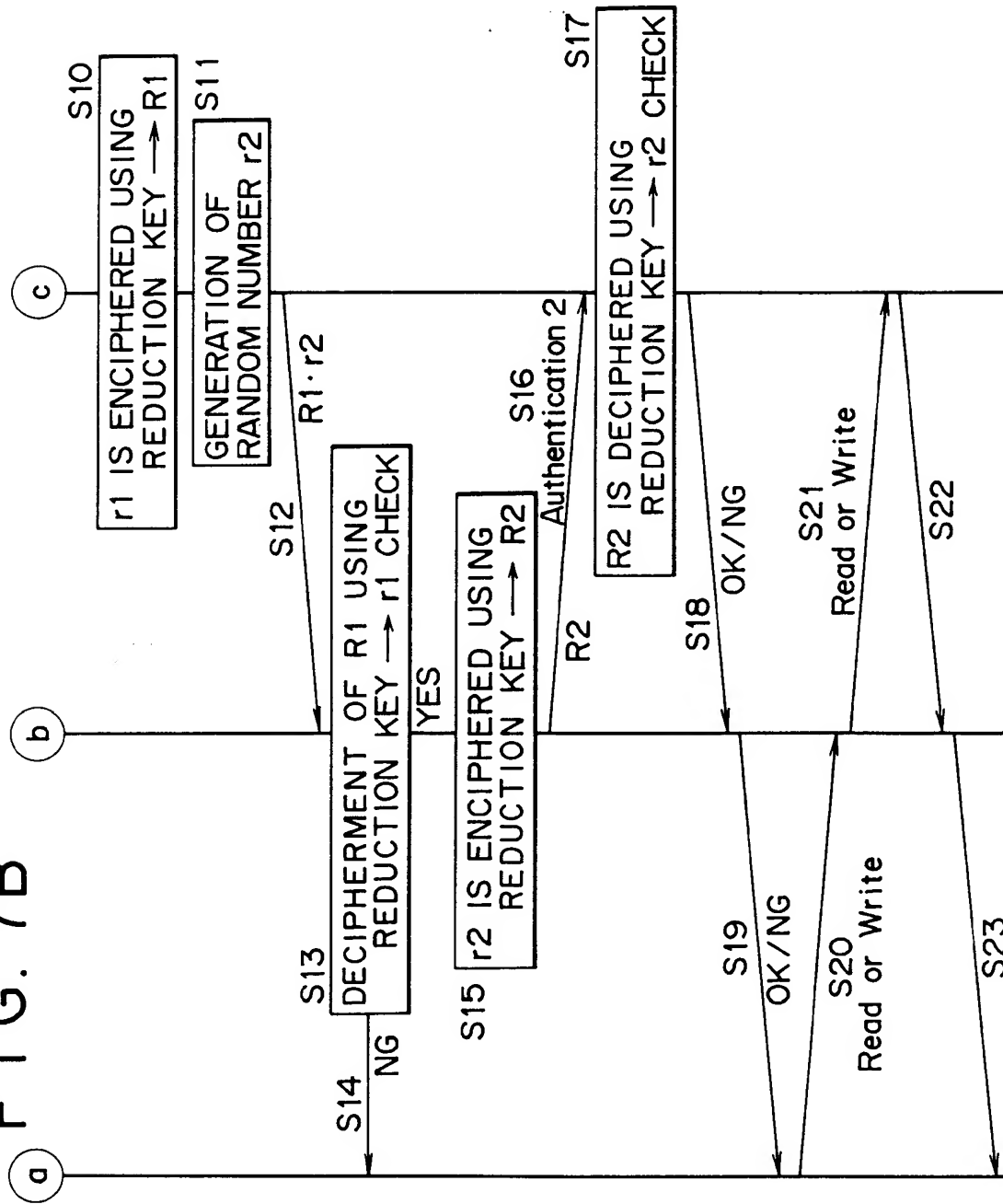


FIG. 8A

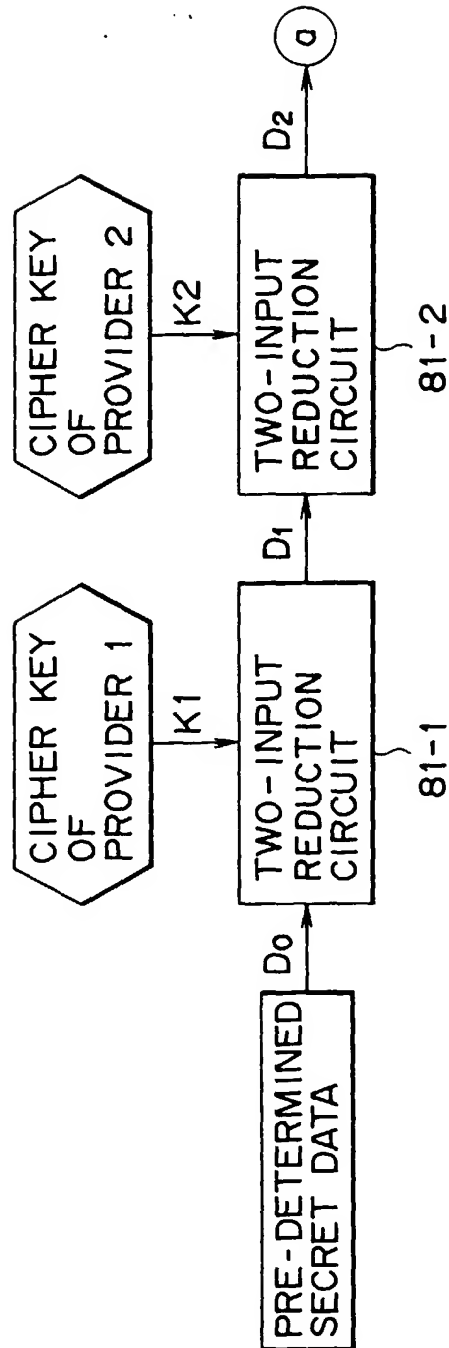


FIG. 8B

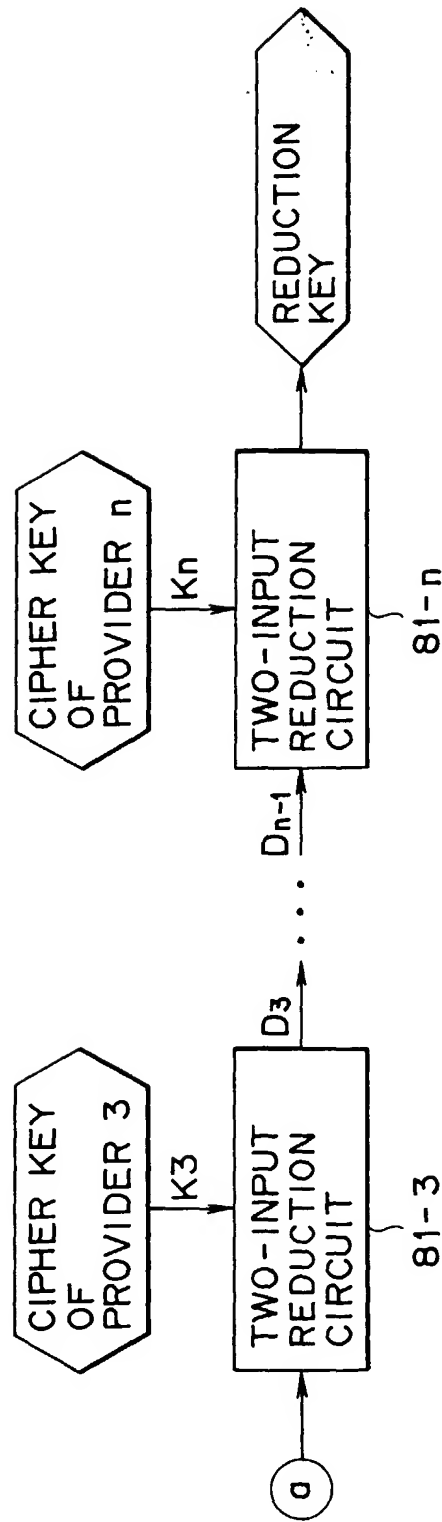


FIG. 8

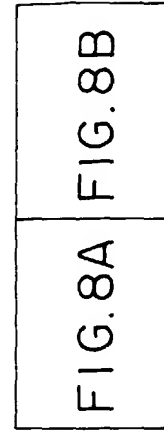


FIG. 9

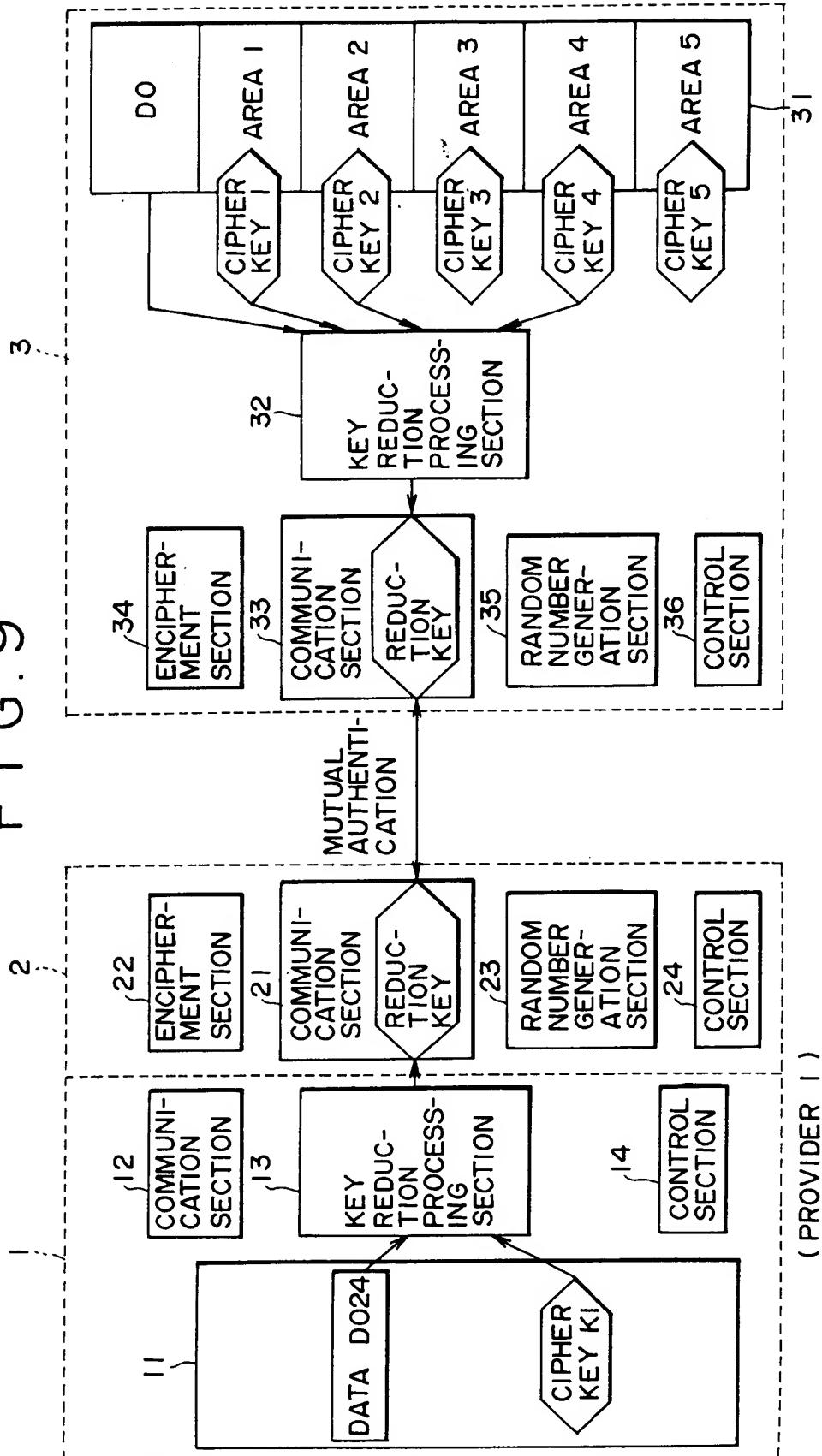


FIG. 10

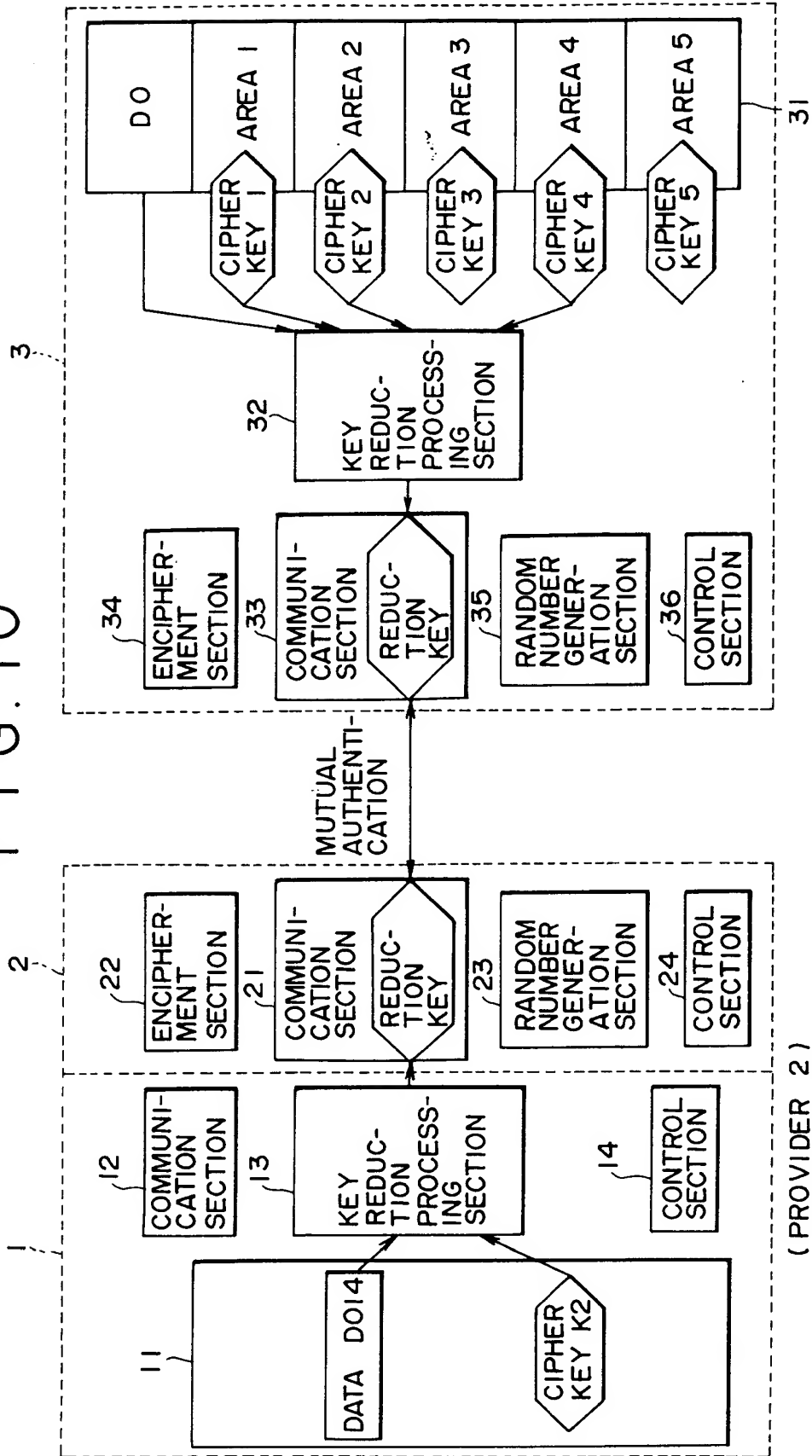


FIG. 11

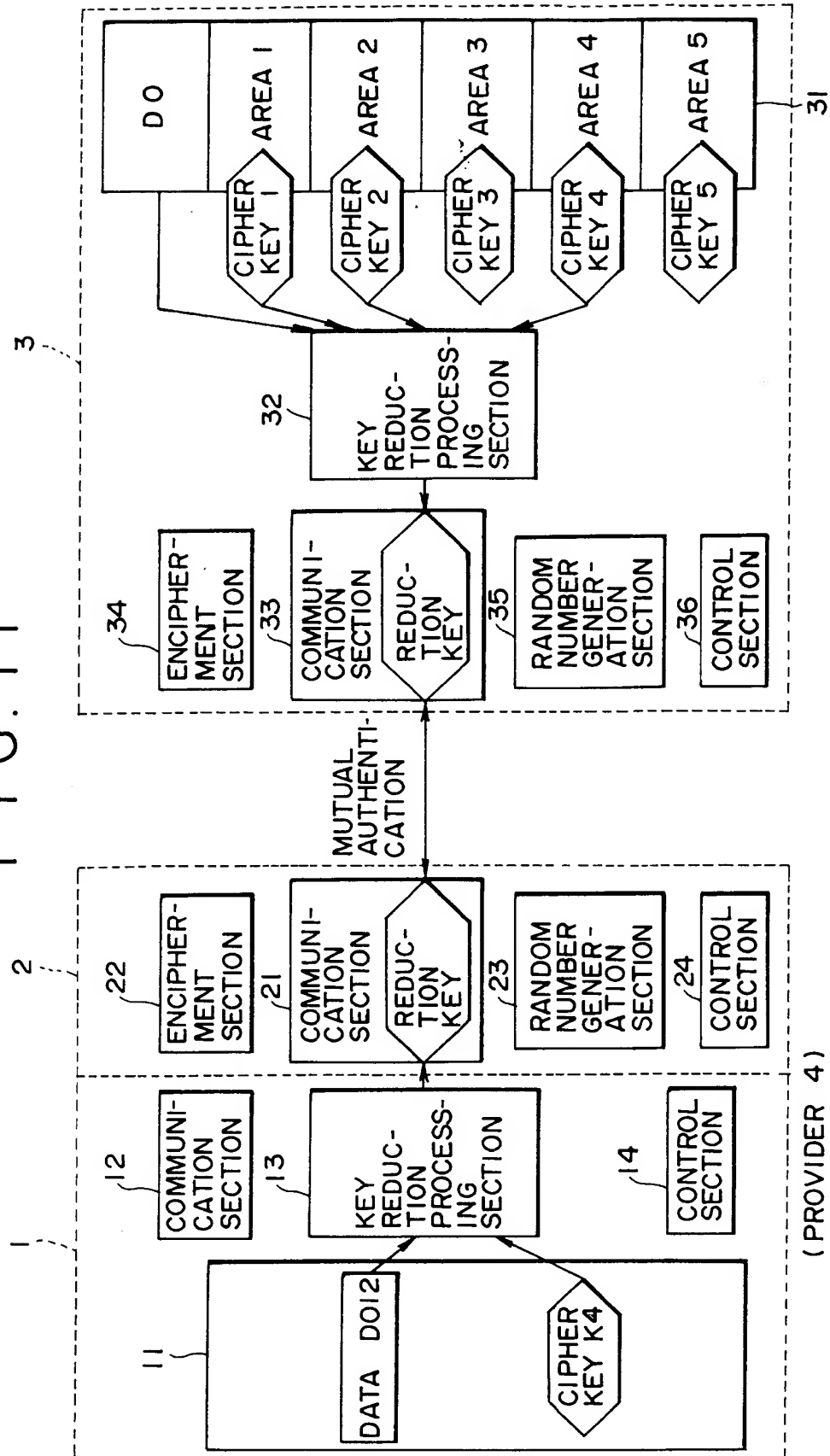


FIG. 12

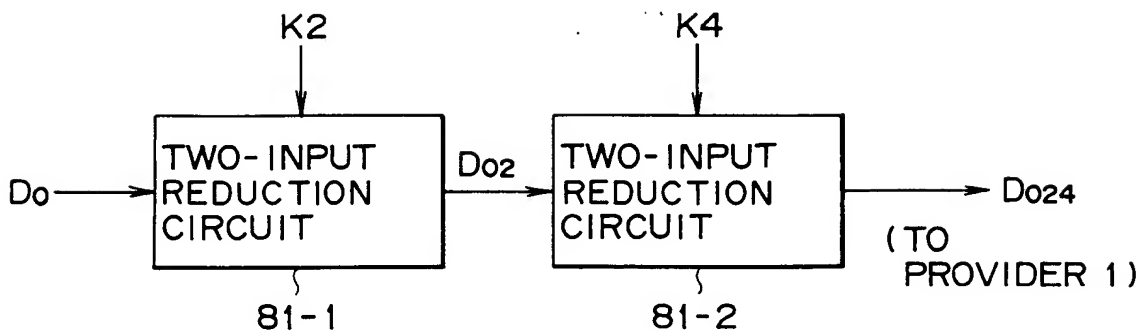


FIG. 13

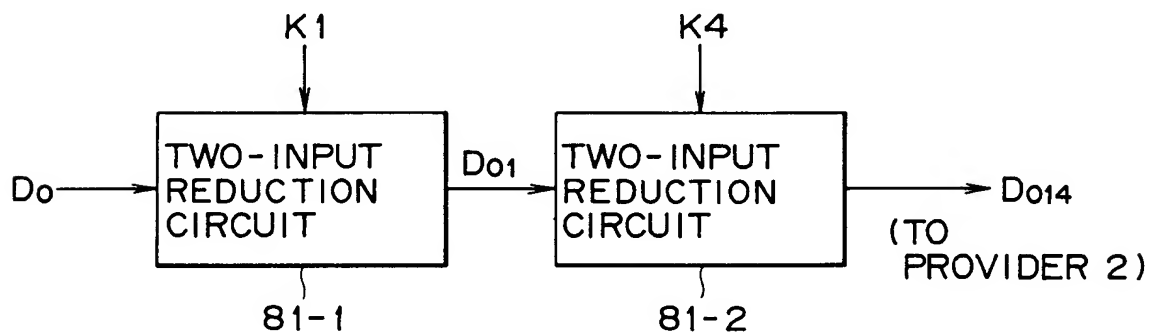


FIG. 14

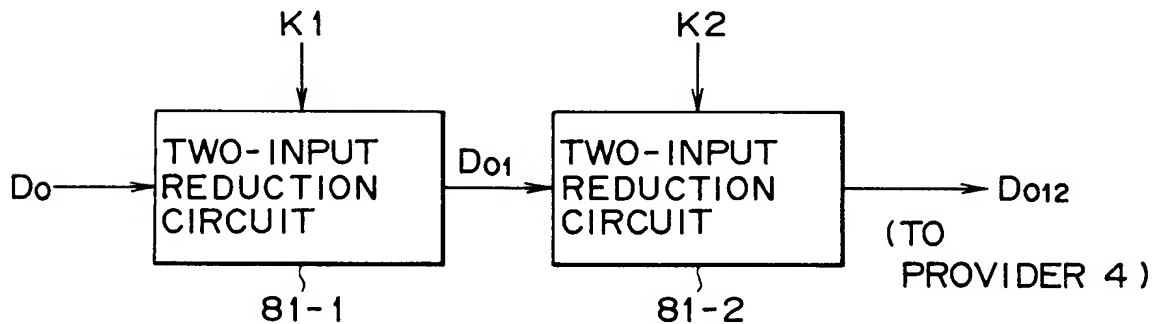


FIG. 15A

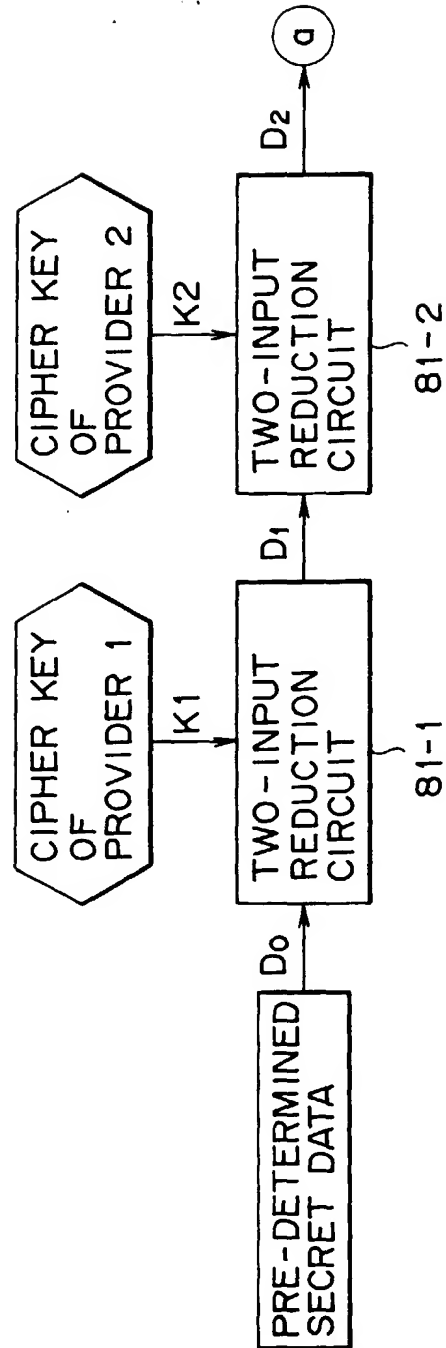


FIG. 15B

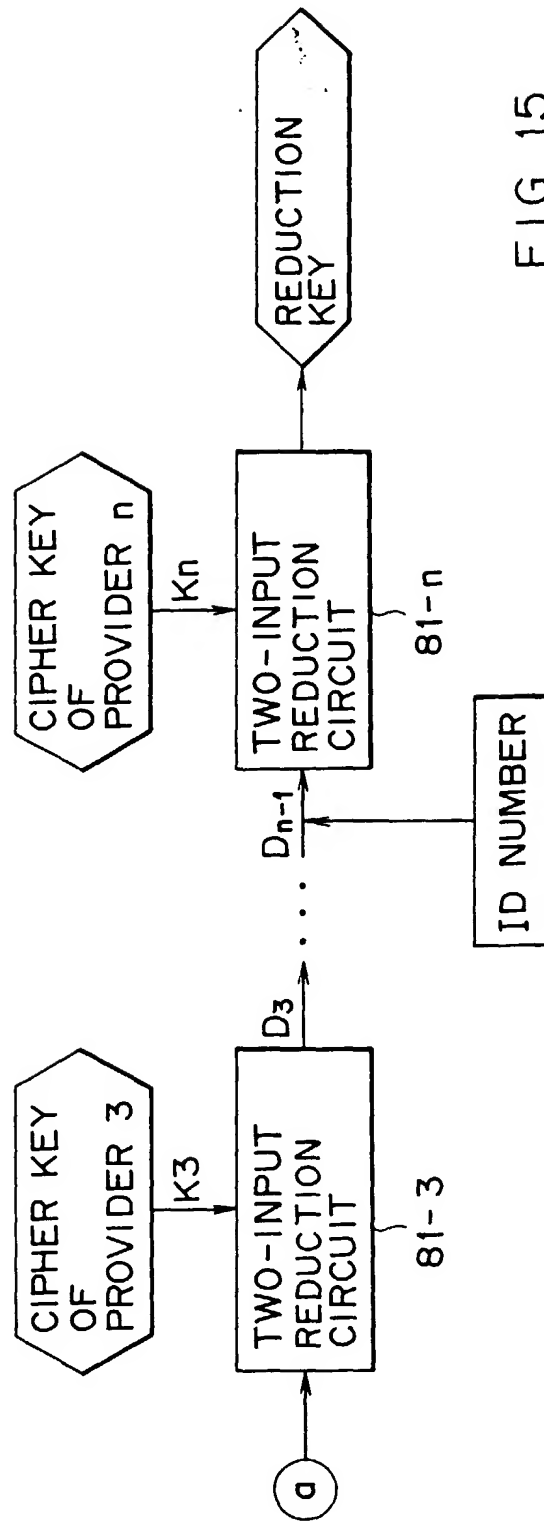


FIG. 15

FIG.15A FIG.15B

FIG. 16

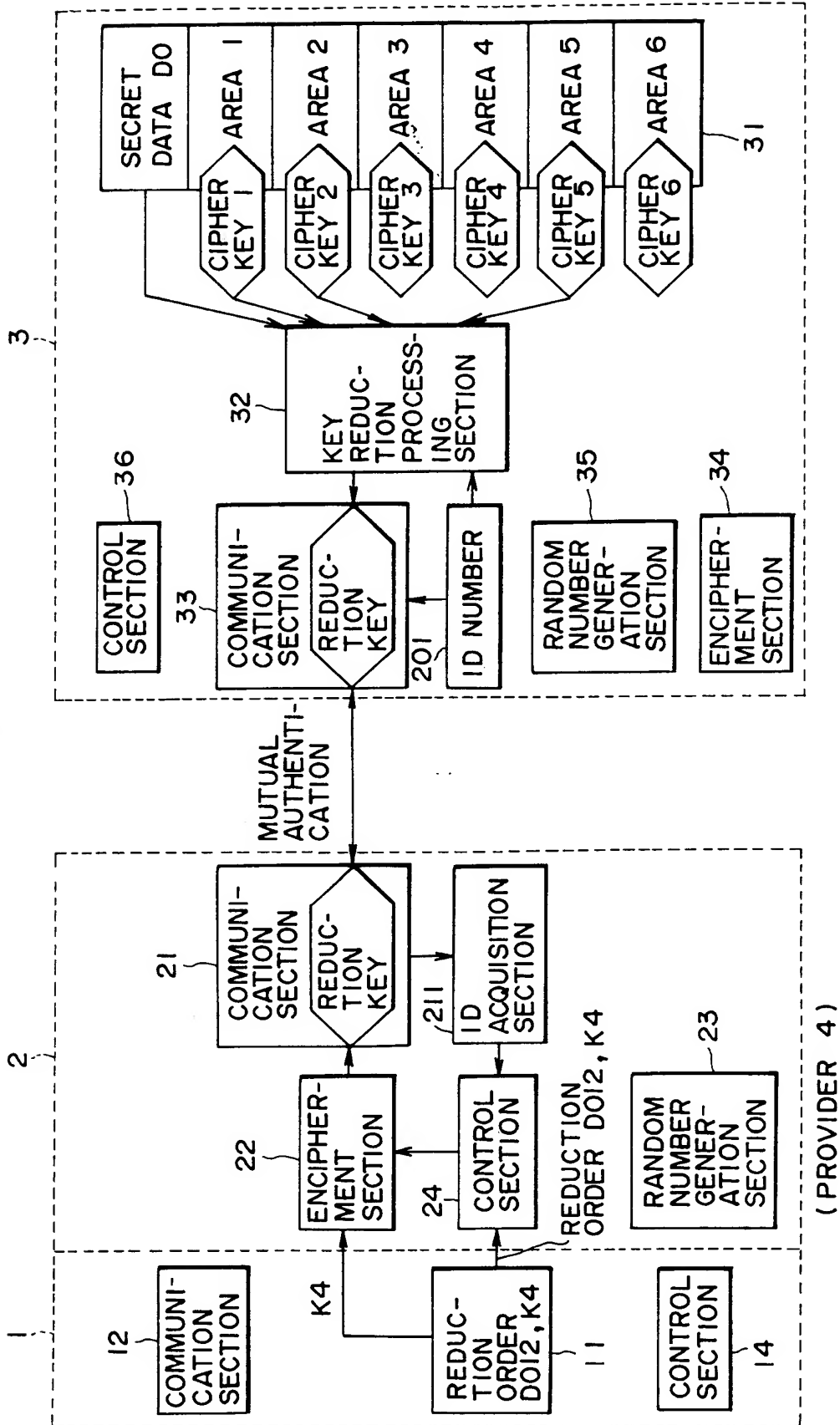


FIG. 17A

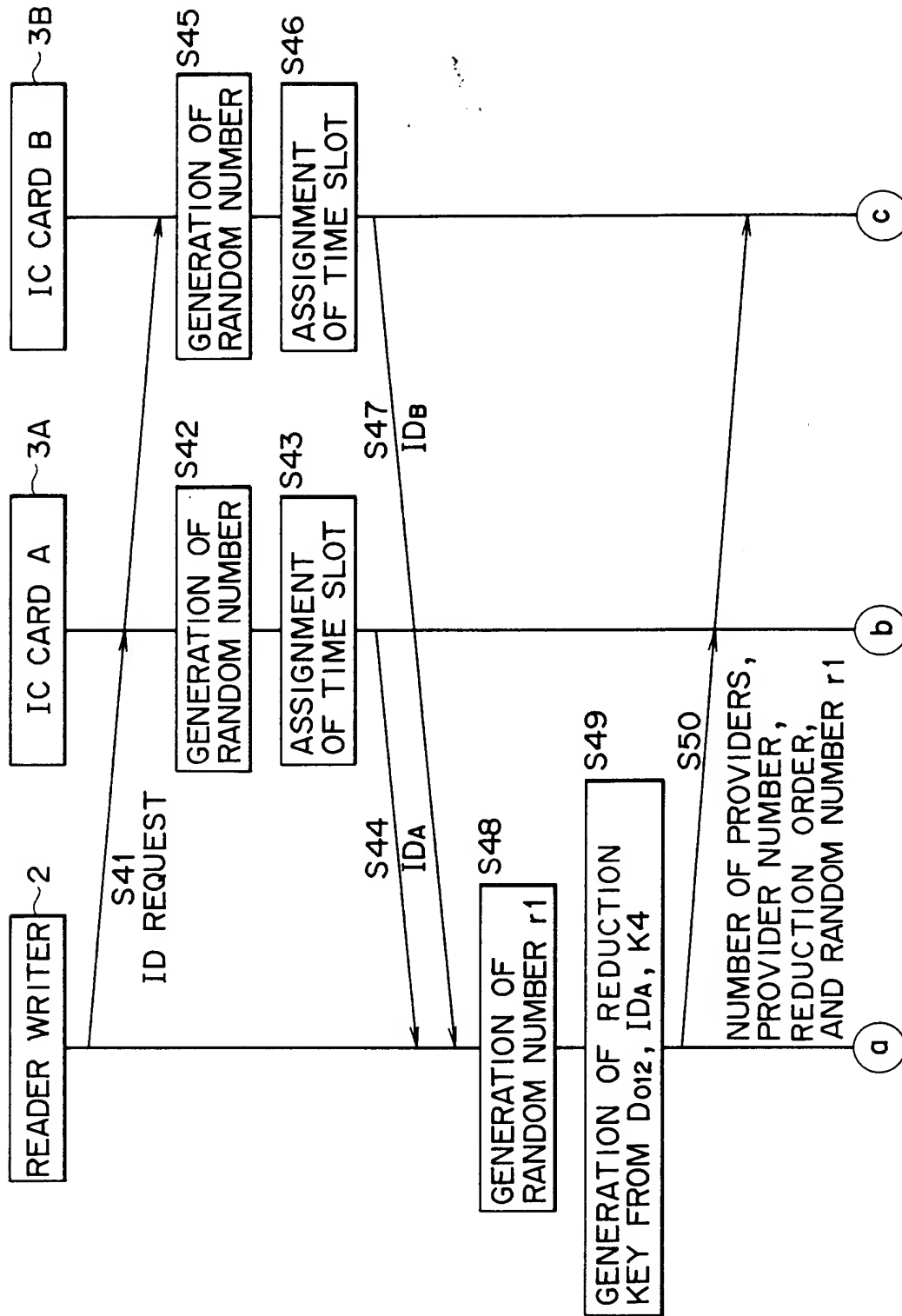


FIG. 17B

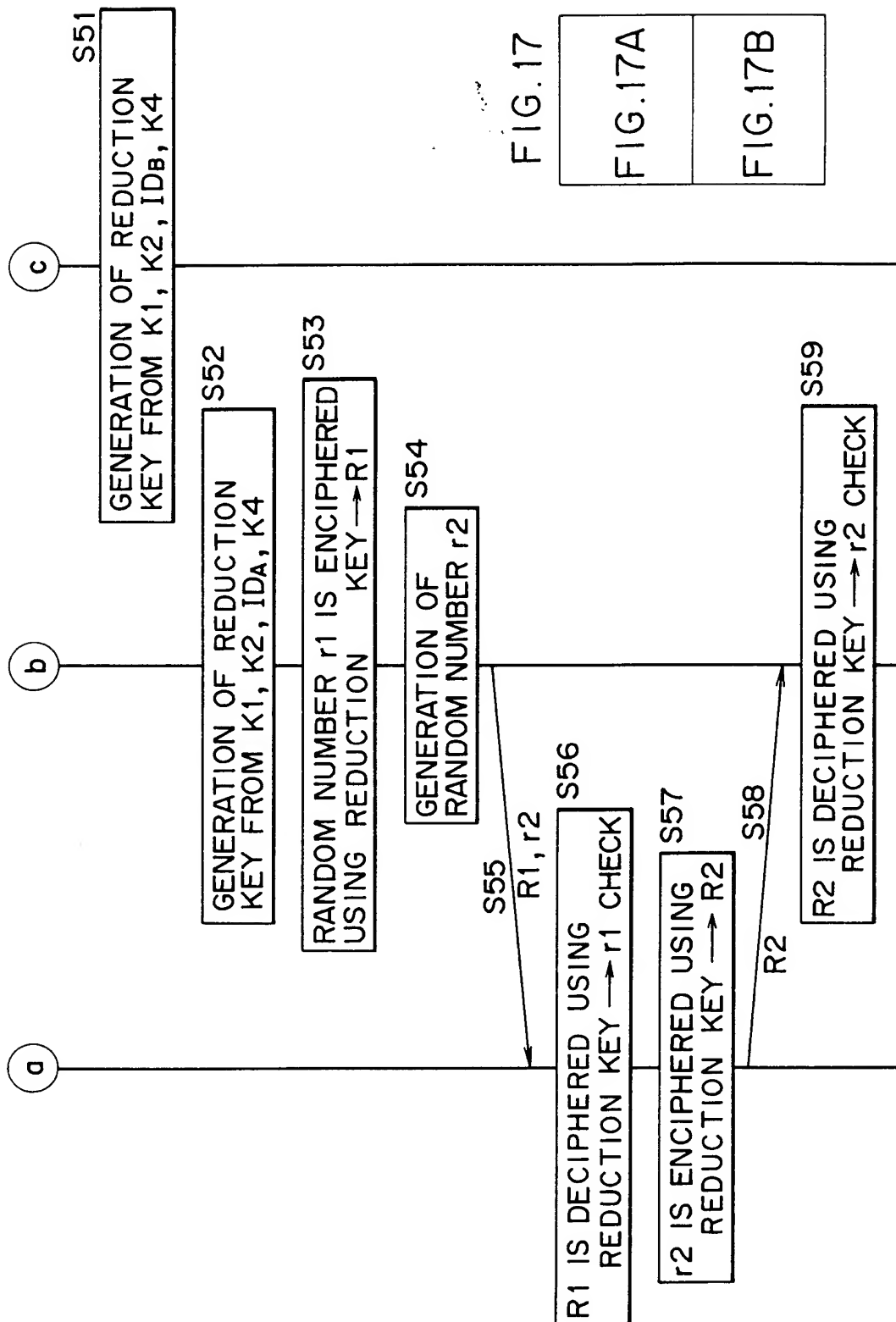


FIG. 17

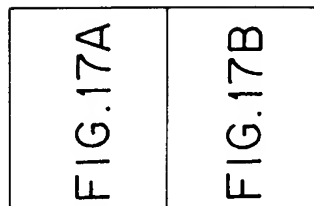


FIG. 18

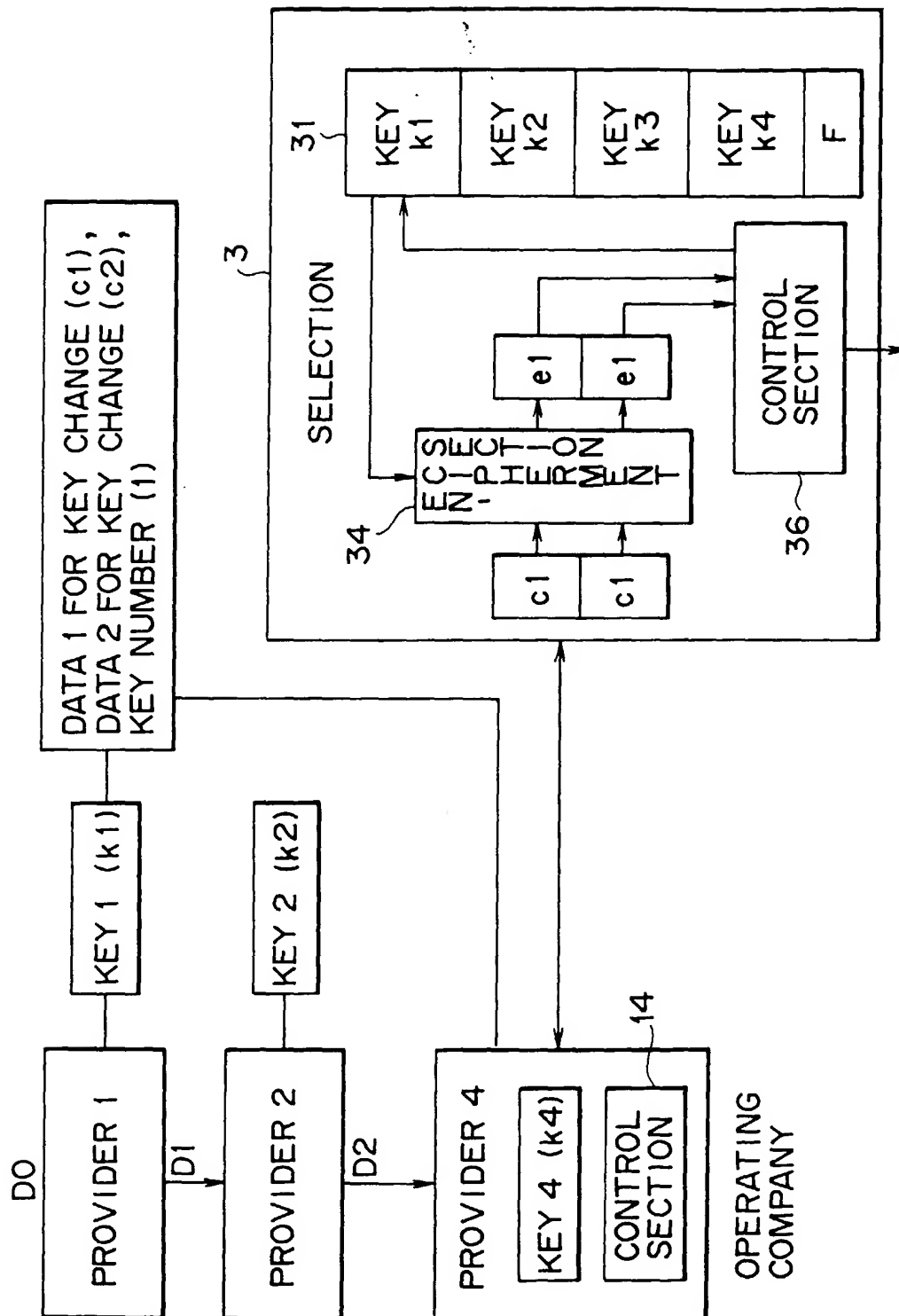


FIG. 19A

FIG. 19

FIG. 19A FIG. 19B

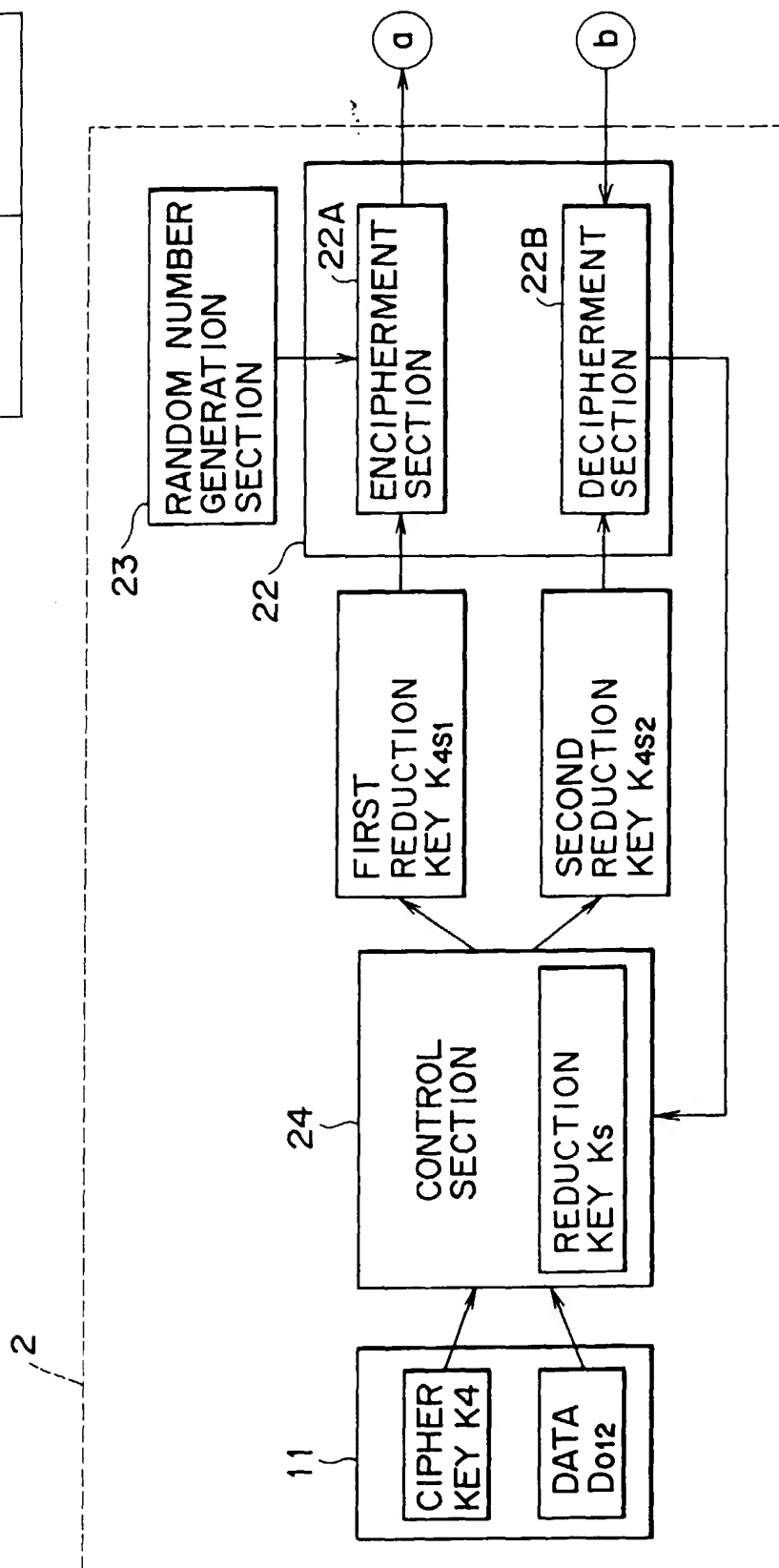


FIG. 19B

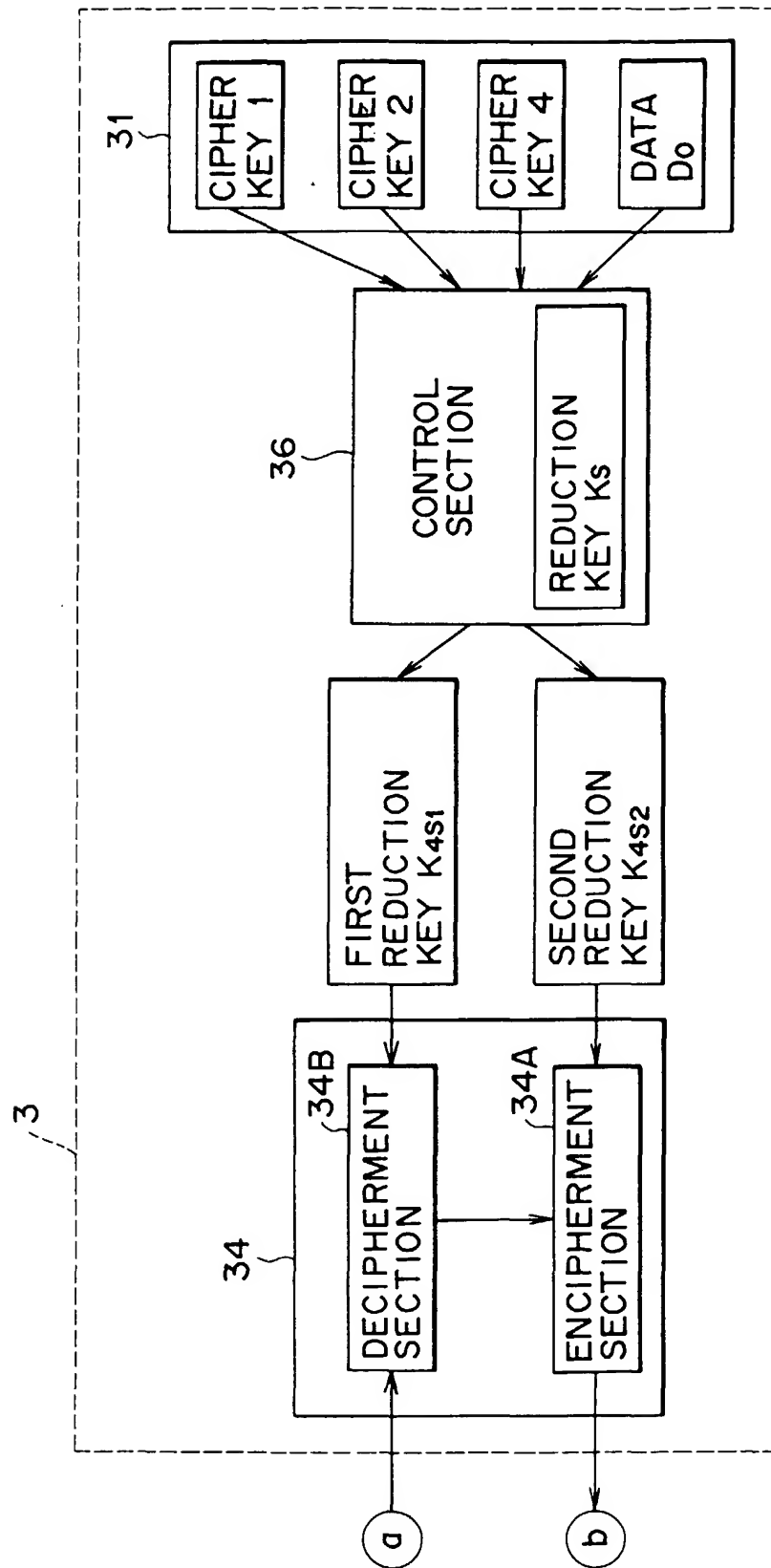
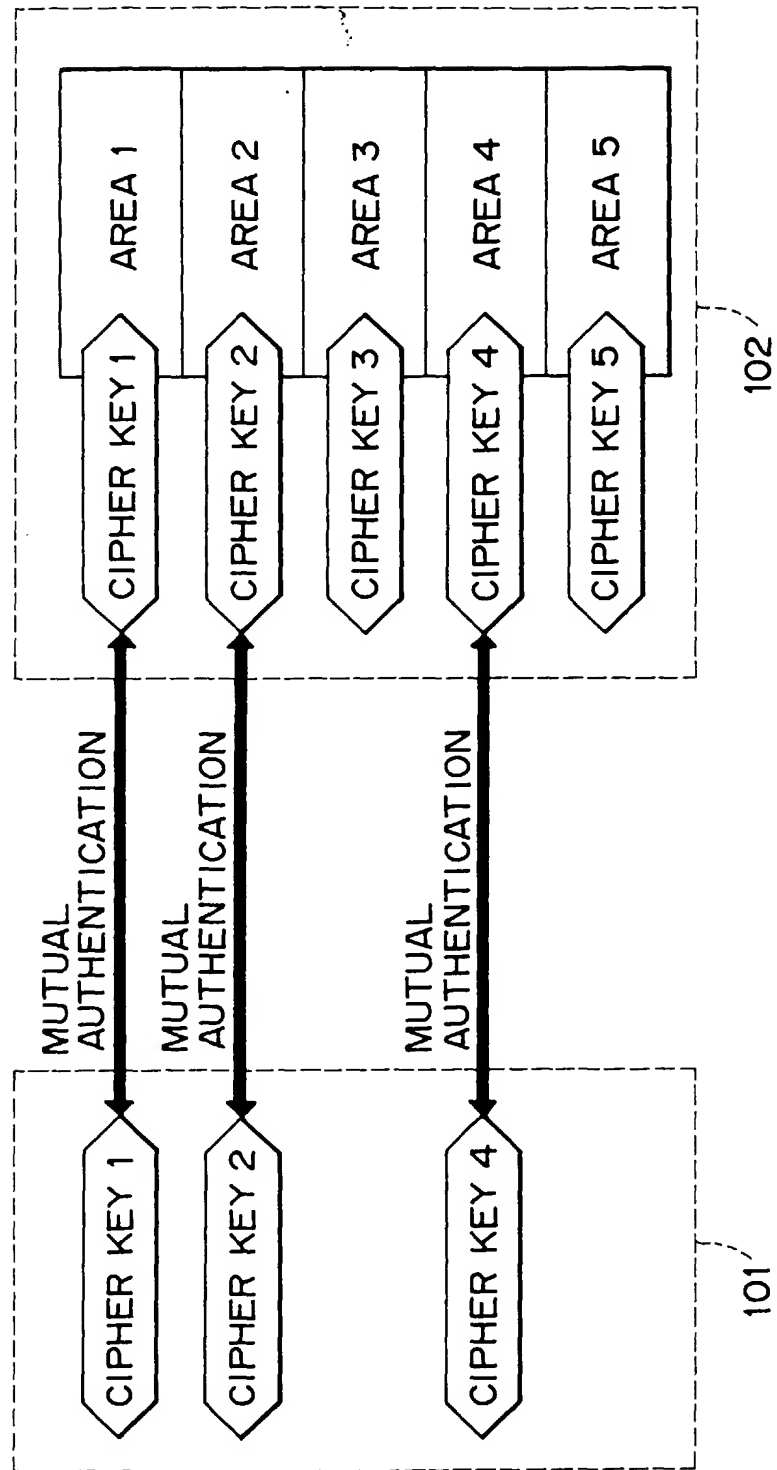


FIG. 20





(88) Date of publication A3:
20.09.2000 Bulletin 2000/38

(43) Date of publication A2:
30.09.1998 Bulletin 1998/40

(21) Application number: **98105233.5**

(22) Date of filing: **23.03.1998**

(51) Int. Cl.⁷: **G07F 7/10**, H04L 9/00,
H04L 9/08

(84) Designated Contracting States:
**AT BE CH DE DK ES FI FR GB GR IE IT LI LU MC
NL PT SE**
Designated Extension States:
AL LT LV MK RO SI

(30) Priority: **26.03.1997 JP 7320597**
28.04.1997 JP 11088997

(71) Applicant: **SONY CORPORATION**
Tokyo (JP)

(72) Inventors:

- **Kusakabe, Susumu**
Shinagawa-ku, Tokyo (JP)
- **Takada, Masayuki**
Shinagawa-ku, Tokyo (JP)
- **Ishibashi, Yoshihito**
Shinagawa-ku, Tokyo (JP)

(74) Representative:

Melzer, Wolfgang, Dipl.-Ing. et al
Patentanwälte
Mitscherlich & Partner,
Sonnenstrasse 33
80331 München (DE)

(57) In authentication using a plurality of cipher keys, the authentication time is shortened. In the case that an encipher key to decipher key are required to take an access to each area out of the area to area in a memory of an IC card, a plurality of areas to have an access is informed to the IC card from a reader/writer, a plurality of cipher keys corresponding to these areas (for example, cipher key 1, cipher key 2, and cipher key 4) is read out, and reduction processing section generates one reduction key from these cipher keys. A random

number which is generated from a random number generation section of the reader/writer is transferred to the IC card, and an encipherment section enciphers the random number using the reduction key. The reader/writer receives the enciphered random number from the IC card, and decipheres it using the reduction key, and judges the IC card to be proper if the deciphered random number is equal to the generated random number.

The diagram illustrates a cryptographic system architecture with three main functional areas labeled 1, 2, and 3.

- Area 1 (Left):** Contains a vertical stack of four rectangular blocks. The top block is labeled "11" and contains two diamond-shaped blocks labeled "CIPHER KEY 1" and "CIPHER KEY 2". Below it is a block labeled "12" containing a diamond-shaped block labeled "CIPHER KEY 4". The bottom block is labeled "13" and contains a diamond-shaped block labeled "CIPHER KEY 3". To the right of this stack is a block labeled "14" containing a diamond-shaped block labeled "CIPHER KEY 1".
- Area 2 (Middle):** Contains a vertical stack of five rectangular blocks. The top block is labeled "21" and contains a diamond-shaped block labeled "REDUCTION KEY". Below it is a block labeled "22" containing a diamond-shaped block labeled "REDUCTION KEY". The bottom block is labeled "23" and contains a diamond-shaped block labeled "REDUCTION KEY". To the right of this stack is a block labeled "24" containing a diamond-shaped block labeled "REDUCTION KEY".
- Area 3 (Right):** Contains a vertical stack of five rectangular blocks. The top block is labeled "31" and contains a diamond-shaped block labeled "CIPHER KEY 1". Below it is a block labeled "32" containing a diamond-shaped block labeled "CIPHER KEY 2". The bottom block is labeled "33" and contains a diamond-shaped block labeled "CIPHER KEY 3". To the right of this stack is a block labeled "34" containing a diamond-shaped block labeled "CIPHER KEY 4". The bottom block is labeled "35" and contains a diamond-shaped block labeled "CIPHER KEY 5".

Connections and Labels:

- A dashed line labeled "1" runs horizontally across the top of the diagram.
- A dashed line labeled "2" runs horizontally across the top of the diagram.
- A dashed line labeled "3" runs horizontally across the top of the diagram.
- A central block labeled "MUTUAL AUTHENTICATION" is connected to the "REDUCTION KEY" blocks in Area 2 and the "REDUCTION KEY" block in Area 3.
- Arrows indicate data flow from the "CIPHER KEY" blocks in Area 1 to the "REDUCTION KEY" blocks in Area 2.
- Arrows indicate data flow from the "REDUCTION KEY" blocks in Area 2 to the "REDUCTION KEY" block in Area 3.
- Arrows indicate data flow from the "REDUCTION KEY" block in Area 3 to the "CIPHER KEY" blocks in Area 3.



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number
EP 98 10 5233

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
A	US 5 293 029 A (IIJIMA YASUO) 8 March 1994 (1994-03-08) * column 3, line 60 - column 4, line 44 * * claims; figure 3A *	1-25	G07F7/10 H04L9/00 H04L9/08
A	EP 0 422 230 A (MATSUSHITA ELECTRIC IND CO LTD) 17 April 1991 (1991-04-17) * page 8, line 22 - page 10, line 44 * * figures 6,8 *	12-14, 17-19, 21-23	
A	PATENT ABSTRACTS OF JAPAN vol. 1997, no. 03, 31 March 1997 (1997-03-31) & JP 08 305662 A (FUJITSU LTD), 22 November 1996 (1996-11-22) * abstract *	1,5,9, 11,12	
A	EP 0 552 392 A (SIEMENS NIXDORF INF SYST) 28 July 1993 (1993-07-28) * claims; figure 1 *	12,18	
A	EP 0 427 465 A (AMERICAN TELEPHONE & TELEGRAPH) 15 May 1991 (1991-05-15)		
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 28 July 2000	Examiner Bocage, S
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	

EPO FORM 1503 03.82 (P04C01)

**ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.**

EP 98 10 5233

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.
The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

28-07-2000

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5293029 A	08-03-1994	JP 2187888 A	24-07-1990
		JP 2187785 A	23-07-1990
		FR 2641885 A	20-07-1990
		GB 2227111 A,B	18-07-1990
		HK 1003129 A	09-10-1998
EP 0422230 A	17-04-1991	JP 2195376 A	01-08-1990
		JP 2195378 A	01-08-1990
		WO 9009009 A	09-08-1990
		KR 9305572 B	23-06-1993
		US 5227613 A	13-07-1993
JP 08305662 A	22-11-1996	US 5784464 A	21-07-1998
EP 0552392 A	28-07-1993	AT 136139 T	15-04-1996
		DE 59205856 D	02-05-1996
		ES 2084846 T	16-05-1996
EP 0427465 A	15-05-1991	US 5120939 A	09-06-1992
		CA 2023872 A,C	10-05-1991
		DE 69016589 D	16-03-1995
		DE 69016589 T	07-09-1995
		JP 1921556 C	07-04-1995
		JP 3158955 A	08-07-1991
		JP 6052518 B	06-07-1994